

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND THREE HUNDRED AND SEVENTY-SEVENTH MEETING  
OF THE BOARD OF TRUSTEES

Columbus, Ohio, November 2, 2001

The Board of Trustees met at its regular monthly meeting on Friday, November 2, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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November 2, 2001 meeting, Board of Trustees

The Chairman, Mr. Brennan, called the meeting of the Board of Trustees to order on November 2, 2001, at 11:00 a.m. He requested the Secretary to call the roll.

Present: David L. Brennan, Chairman, James F. Patterson, Zuheir Sofia, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Kevin R. Filiautraut, and Joseph A. Shultz. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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## **PRESIDENT'S REPORT**

President William E. Kirwan:

Last month in my reports to the Board and to the University Senate, I addressed the challenges we face from inadequate state support coming into this year, now compounded by a six percent mid-year budget cut. I also said that, in spite of these difficult budgetary times, we will move forward with our Academic Plan, even if our efforts to support some aspects of the plan must be delayed.

At this time, we are aggressively moving forward on four key priorities in the Academic Plan: 1) a series of enhancements to our undergraduate program through use of over-the-cap tuition funds; 2) a major biomedical research initiative, drawing in part upon the Tobacco Settlement funds; 3) creation of an Institute for the Study of Race and Ethnicity in the Americas, using funds earmarked last year; and, finally -- and most pressing -- 4) a substantial increase in faculty and staff compensation to bring us in line with our benchmark institutions.

Funding for the first three of these items has already been identified. To secure the funding for compensation increases, and to address the six percent budget cut, we have developed a reprogramming plan to generate some \$40 million. I outlined the elements of this plan in my October 11 address to the University Senate. Later in the meeting, Provost Ed Ray and Senior Vice President for Business and Finance and Chief Financial Officer Bill Shkurti will give specific details of our most immediate challenge -- namely, our response to the six percent reduction in state funds.

Clearly, our overarching reprogramming plan will not be painless. You can't take away millions more in resources without cutting or eliminating important programs and activities. Nevertheless, we are firmly committed to building, maintaining, and rewarding our talented faculty and staff. The ultimate success of our Academic Plan begins with that effort.

In this very challenging economic environment, our Academic Plan has become an even more valuable blueprint to help Ohio State stay the course and become the top tier University that our state needs in today's knowledge economy. The plan will continue to be our guide for planning and decision-making to help us maximize the value of the limited resources available.

Similarly, the Academic Plan provides us with guidelines by which we can monitor our progress and success. We've enjoyed a number of those successes over the past several weeks, and I'd like to take just a few moments and tell you about a few of them.

First and foremost, I am very pleased to report that Dr. Janice Kiecolt-Glaser, an Ohio State professor of psychology and psychiatry, has been elected to the National Institute of Medicine, which is the medical arm of the National Academy of Sciences.

## **PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

Membership in the Institute of Medicine is perhaps the highest honor American medical researchers can achieve -- and Dr. Janice Kiecolt-Glaser joins our growing cadre of members of the various national academies. As you may remember, Drs. Clara Bloomfield and L.S. Fan were elected last year to the Institute of Medicine and the National Academy of Engineering, respectively. Dr. Kiecolt-Glaser is an international expert on stress, a talent that many of us may want to call upon in the coming months.

A number of other faculty members also received exceptional honors recently. For instance, Moritz College of Law Dean Nancy Hardin Rogers will be the next recipient of the American Bar Association's D'Alemberte/Raven Award for contributions in dispute resolution. The previous winners of this award were Janet Reno, Dorothy Nelson, Chief Judge of the U.S. Court of Appeals for the Ninth Circuit, and Harvard Law professors Frank Sander and Robert Fisher.

Also, cancer researcher Gustave Leone was named a 2001 Pew Scholar in Biomedical Sciences -- a designation given only to 20 scientists across the country. Professor of English and poet David Citino received the Ohioana Career Award for his wonderful poetry. Poetry, I must add, that has certainly delighted and enriched the lives of everybody in this room. Professor of Astronomy Kris Sellgren has been asked by NASA to join its prestigious Space Science Advisory Committee, which will be advising NASA on projects such as the next generation space telescope and the Mars exploration program.

Our faculty have also received a number of substantial research awards recently, including: a \$2.8 million grant from the National Science Foundation for projects to help expand the benefits of information technology. The largest of these projects are headed by Professor of Computer and Information Science Saday Sadayappan and Ohio Eminent Scholar and Professor of Mathematics Gregory Baker.

A four-year, \$1.8 million alliance between the College of Veterinary Medicine and the Iams Company will support advances in small animal medicine. Dean Glen Hoffsis played a key role in forming that alliance. We also received a \$1.3 million project from the National Institutes of Health to study alternative tobacco use in Appalachia, which parallels a \$1.5 million grant to study smoking cessation in Appalachia. Both studies are headed by Professor of Nursing Mary Ellen Wewers.

Associate Professor of Human Development and Family Science Julie Serovich is leading another \$1.3 million grant from the National Institute of Mental Health to study mental health issues of women with HIV and AIDS. And our College of Education is partnering with the Columbus Public Schools on a \$250,000 grant from the U.S. Department of Education to improve physical education in schools. Professor Mary O'Sullivan is Ohio State's lead investigator in this project.

We're also making substantial progress in improving our academic programs and improving the quality of Ohio State's teaching and learning environment. This afternoon, for instance, Chairman Brennan and I will be participating in the groundbreaking ceremony for our Stanley J. Aronoff Laboratory of Biological Sciences. This five-story, \$26 million building will be equipped with 32 state-of-the-art research laboratories and other research support spaces.

Our new First-Year Experience program has been extremely successful so far. Just in the past few weeks, Nobel Peace Prize winner Elie Weisel and author Bebe Moore Campbell spoke with hundreds of first-year students right here in

## **PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

Columbus at Ohio State. These are students who had read their books as part of our Buckeye Book Community. These students purchased more than 4,000 copies of the authors' books and the discussions they shared are helping them make the human and intellectual connections that will lead them to become active and engaged members of our larger University community.

One other program I want to mention is a new scholar exchange partnership between the University of Sao Paolo, Brazil, and Ohio State's Office of International Education and the University Honors and Scholars Center. As part of that program, four of our outstanding undergraduates will travel to Brazil tomorrow to make presentations at the University of Sao Paolo's undergraduate research symposium. This is an incredible opportunity for these very talented students.

Finally, I would like to mention just a few new initiatives that support our goal of helping to build Ohio's future. First, our College of Engineering has begun a program to introduce engineering as a career option to underrepresented student groups and improve retention rates once they reach college.

For this program, our faculty are teaching college-level introductory classes to about sixty students at Walnut Hills High School in the Cincinnati Public School District. Those of you from that area and many across the state know what an outstanding school Walnut Hills is. We're very excited about this outreach effort. If these students attend Ohio State -- and how could they not -- they'll have a nice head start, saving time and tuition costs and gaining great confidence in their ability to do high-level work.

I'm also happy to report that Ohio State is a founding investor in a new, \$20 million venture capital early seed fund, organized by the Columbus Technology Leadership Council. The alliance of investors includes Battelle, Nationwide, Huntington Bank, the State of Ohio, and other leading businesses, and the fund -- called the Battelle Technology Fund -- will be a great resource for providing seed funds to high tech businesses and entrepreneurial activities in Central Ohio, many of which will be coming out of Ohio State University. I will be serving on the fund's advisory board.

Another effort to improve the Ohio economy involves Fred Sanfilippo, senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Governor Taft has appointed Dr. Sanfilippo to the Ohio Biomedical Research and Technology Transfer Commission. As a member of that board, Dr. Sanfilippo will help assess Ohio's strategic investments in biomedical research and technology.

Finally, I'm very proud to say that University Police Lieutenant Al Washer has been selected to serve at the 2002 Winter Olympics in Salt Lake City. We have an Olympic-caliber security officer here at Ohio State.

Lieutenant Washer is one of only 500 officers nationwide and one of only seven in Ohio to be asked to join the Utah Olympic Public Safety Command. As you can imagine, these safety officers will have a high pressure and vitally important role in ensuring the safety of participants and spectators at the upcoming Olympics. We are extremely proud that Lieutenant Washer will be part of that select team and, on behalf of the University, I want to wish him great success in this assignment.

**PRESIDENT'S REPORT (contd)**

President Kirwan: (contd)

Mr. Chairman and members of the Board, that completes my report.

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**PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES**

Mr. William H. Hall:

For several years now the Board has heard reports on the various ways the University is working to enhance the student experience, particularly for undergraduates. From the First-Year Experience program to undergraduate research opportunities there are numerous initiatives underway to involve and engage students in a total learning experience, in and outside of the classroom.

Today we are pleased to talk with you about ways units throughout the University are working with students to develop skills, confidence, and experience that will provide a foundation for their future roles in their professions and communities. Our panelists will discuss leadership development and service-learning, two related concepts that link real world hands-on experience with structured learning methods.

I'd like to introduce, Dr. Donald Stenta, associate director of the Ohio Union and Student Activities, who coordinates our leadership development/service-learning programs in Student Affairs. Don has invited Jonathan Felix, a sophomore from Poland, Ohio, to discuss his experiences with the Leadership Development Program. Jonathan is majoring in both marketing and theatre, and, I think, when you hear him talk, you'll agree that he's a natural for both of those majors.

Representing the faculty is Dr. Golden Jackson, from the College of Human Ecology, who offers courses that integrate service-learning. Dr. Jackson is co-chair of the University Service-Learning Scholars Roundtable and her classes vividly illustrate how service-learning can be an integral part of academic course work. She has invited Rachel Solove to present a student viewpoint on service learning. Rachel, who will receive her bachelor's degree in consumer affairs in March, is from Gahanna, and is considering a career in real estate development.

Don --

Dr. Donald A. Stenta:

Thank you very much, Vice President Hall, and good morning to you all. As Bill mentioned, my name is Don Stenta and I currently serve as the associate director of the Ohio Union and Student Activities. We're very pleased to be here today to discuss an important topic at Ohio State.

In the Student Affairs' restructuring process this summer, Vice President Hall made a conscious decision to integrate two very important elements of the student experience. After several conversations this summer that discussed the restructuring process, leadership development and service-learning initiatives in Student Affairs were integrated into one unit at the Ohio Union.

The concept of leadership at Ohio State is presented to students from the perspective that all students on our campus have the capacity to be a leader. Leadership is rooted in forming relationships with other people, with attention being paid to the role that the students have within their community.

**PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)**

Dr. Stenta: (contd)

This vision of situating leadership in the community is consistent with many of our University initiatives and really goes to the fabric of what our institution stands for.

In our work to educate students about leadership, we will do so with a focus on how to integrate and blend leadership and service-learning together. I should add that this is not a consistent pattern at other universities. What we are trying to do is cutting edge and new in terms of being a collaborative partner. We are taking Academic Affairs' units and Student Affairs' units, and providing students with experiences both in and out of the classroom to enhance their learning.

It is clear from our research on leadership development, that programs related to leadership are happening in nearly every corner of the University. As a result, what we're doing is compiling a comprehensive list of the leadership development programs at the University. As you can imagine, this list is quite long and includes programs such as: the Lena C. Bailey Lecture Series, in the College of Human Ecology, and the Mount Leadership Society.

The Mount Leadership Society is a program I'm sure you're familiar with. It links together leadership and service-learning. It has been very successful in taking curricular and co-curricular experiences of students and forming relationships between Academic Affairs' and Student Affairs' units.

Leadership development programs are currently happening in nearly every division in the Office of Student Affairs. As such, we are establishing a Leadership and Service-Learning Council that will be made up of students and professionals in Student Affairs. This will bring them together to discuss issues related to all of our initiatives in leadership and provide a comprehensive array of the offerings that the divisions will be able to provide.

For several years we've been offering leadership classes in conjunction with the School of Educational Policy and Leadership. Each year over 1,000 students enroll in between 40 and 50 sections of the class, which covers both personal and group dynamics. Students report to us in evaluations that these classes provide them with real-world examples of how leaders confront challenges in their settings.

This year, in conjunction with the First-Year Experience Success Series, that President Kirwan outlined, our office offered five workshops that provided students with an understanding of leadership at Ohio State. These workshops were originally designed to accommodate 200 students during the week, so we were planning on about 40 students coming to each session. When the students registered on-line, we had over 300 students apply to some sessions and over the week we had 737 students attend these sessions. These sessions showed the students the leadership opportunities at Ohio State and the programs that they could be involved with.

During the session we introduced a new program called Quest, which will be our emerging leaders program at Ohio State. This program will offer new students the opportunity to sharpen their leadership skills and become exposed to topics related to leadership, like diversity and community service. I'm pleased to report that 188 students have expressed an interest in participating in this emerging leaders program, that will begin Winter Quarter.

Perhaps the most comprehensive program we offer is the Leadershape Institute. Leadershape is a nationally recognized, intensive, six-day institute that spans six

**PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)**

Dr. Stenta: (contd)

days in June. Ohio State's institute this summer will be the fifth year of the program. I noticed Ms. Longaberger smile when I mentioned Leadershape. The first year that we offered Leadershape, Ms. Longaberger was one of our guest leaders. That was five years ago, and I'm very pleased to state that students still talk about the role that you had in shaping their understanding of leadership and really speaking to them about the impact that you've made as a University and community leader.

Thirty campuses nationwide offer this program, including five other schools in the Big Ten -- including the one up north -- and over 10,000 students have participated nationwide. On our campus, I'm pleased to tell you that nearly 250 students are now graduates of the Leadershape Institute.

I have asked Jonathan Felix, a Leadershape participant from last summer, to speak with you about his experience with the program. Jonathan will serve as this year's student co-coordinator of the program.

Mr. Jonathan Felix:

Hi. My name is Jonathan Felix and, as Don mentioned, I will be this year's on-site coordinator for the Leadershape Institute. I attended the program last June, and it was a life-altering experience, to say the least.

The intensive and thorough week opened my eyes to what the definition of a true leader really is. Personally that was the concept -- that a true leader is not only one who leads, but one who gives back to the community around them.

During the program, students are asked to create a "vision plan." This can best be described as a snapshot that captures the essence of the ideal future that the student wants to create. It can relate to a number of things, such as organization, community, cause, issue, or something else that the student feels passionate about. My vision plan -- and something I am very passionate about -- is that all children of inner city Columbus, will receive the support and encouragement they need to achieve their future career goals.

One of the unique portions of Leadershape that sets it apart from different programs is that it doesn't end with the student acquiring this inspiring vision. It goes way beyond that. The student is then given the tools and the ideas to make this vision become a reality.

For my vision, this entailed helping to create and implement a program here on campus called AIMS -- Arts Impact Middle School. This program, run through the College of the Arts, takes students from the Arts Scholar Program and places them in the Indianola Middle School classroom. Here children are taught about the arts and they get to spend influential time with a mentoring college student. It is a small success in the grand picture, but with dedication and persistence, I hope to further it by one day creating my very own program. But without Leadershape, I would never have realized this passion or ways to carry it out.

In addition to my vision plan, the program also gave me assistance in my everyday life. One of the finest examples of this is my position as a residence hall advisor. Every day students rely on me to be a positive role model and leader in my floor's community -- all things that are connected to what I learned during the program.

**PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)**

Mr. Felix: (contd)

At the end of the week, each student is asked to create a commercial that adequately describes their experience at Leadershape. I would like to leave you with a commercial that I wrote, that borrows from the famous VISA® ad campaign:

- Two Ohio State football tickets – \$40
- Two hot dogs at the 'Shoe – \$7
- One Brutus the Buckeye hat – \$15
- A life-changing experience filled with friends, inspiration, and encouragement – Priceless!

Dr. Golden Jackson:

Maybe we'll hear that at the 'Shoe someday – that was very good.

I'm Golden Jackson and I teach in the College of Human Ecology. I really appreciate the opportunity to be here today to tell you about one approach being used in OSU classrooms to develop leadership among students. That approach is known as service-learning.

Service-learning is a form of experiential education and there are three features that distinguish it from other types of courses. First of all, students participate in an organized service activity out in the community. Second, the service is connected to specific learning outcomes and also meets identified community needs. The third distinctive feature of service-learning is reflection. Reflection simply is structured activities that allow the student to connect what he or she is doing in the community with what they're learning in the classroom. So they may either write or discuss or engage in some other form of reflection that enables them to do this.

The adoption of service-learning is spreading among institutions of higher education around the country. Several examples of service-learning programs at other institutions include: the Ginsberg Center for Community Service and Learning at the University of Michigan; The Carlson Leadership and Public Service Center at the University of Washington; and the Morgridge Center for Public Service at the University of Wisconsin.

Service-learning has gained support at these and other institutions because it facilitates a shift in emphasis from teaching to learning. It focuses on problems rather than disciplines, and it emphasizes collaborative rather than individual action in learning. A growing body of research on service-learning shows the method to be effective in: promoting student learning; increasing problem-solving abilities; developing social responsibility and citizenship; and fostering student leadership.

On the Ohio State campus three years ago, Vice Provost for Undergraduate Education Martha Garland recognized the merits of service-learning as a means of enhancing the quality of undergraduate education. When Vice Provost Garland speaks about our challenge to improve undergraduate education, she often refers to the Ohio State motto "Education for Citizenship." She saw service-learning as a vehicle that could be used to inject those words into our work.

She convened a group of faculty to explore the potential of service-learning at Ohio State. The Service-Learning Scholars Roundtable began in 1998 with eight



**PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)**

Dr. Jackson: (contd)

members and today has forty-two members from twenty-four units across the University.

The work of the Roundtable has led to a three-year grant from the Corporation for National Service to fund what we call the Service-Learning Initiative. The grant will support the development of thirty new service-learning courses over a three-year period that will be added to the twenty-seven courses that exist now.

Another important part of the grant is the development of a University-wide database that will list community service projects and service-learning courses. This will be a major step forward in our ability to provide leadership development opportunities through coordination of community service projects for our students, faculty, and our community partners. The Service-Learning Initiative is collaborating with the P-12 Initiative Learning Bridge and the Office of Student Affairs on this project.

We really believe that community service experience is a powerful teaching tool. I'm afraid to say that I've witnessed students in my class who were only marginally interested in the course material, actually come alive and become totally engaged when they were connecting their community experience with concepts that we were discussing in the classroom.

Every Spring Quarter, I teach Family Resource Management 611 which deals with consumer housing problems. In that course, I partner with the Godman Guild or the Weinland Park Community Collaborative -- both organizations in the University neighborhood -- to design a service project that contributes both to the need of the community, and also guides my students to learn about housing problems and solutions in a low income neighborhood and to see housing policy in action. I've invited Rachel Solove, one of my students from last spring, to tell you about her experience in the class.

Ms. Rachel Solove:

Hi. As Dr. Jackson said, my name is Rachel Solove and I'm a consumer affairs major in the College of Human Ecology. When registering for Dr. Jackson's course, I was not really sure what to expect. From the first day of class, I knew that this would not be a typical course. The class, which met twice a week in the Human Ecology House on North Fourth Street, was a welcome change from the norm.

In the class we learned about the importance of housing in the daily lives of families, about the effects of different housing policies, and a community development approach to solving housing problems. The textbook for the course was a book written about a Boston neighborhood called Dudley Street. It was about how its residents pooled together their resources and turned their community around from a near ghetto environment to a rehabilitated community with pride and promise for the future. Changes were made from within their own community using resources and residents to plan the development of the neighborhood without displacing the current residents.

While reading and discussing the amazing dedication and years of planning it took to turn Dudley Street around, we related the situation to an area closer to home. We were able to compare the story of Dudley Street with the Weinland Park neighborhood. The Weinland Park area is located south of the University district and bordered by East Fifth Avenue on the south, Chittenden Avenue on the north, High Street on the west, and the railroad tracks to the east.

**PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)**

Ms. Solove: (contd)

We learned more about this area by interviewing long-time residents, visiting the Godman Guild, which is a community agency that hosts many programs for the area, and getting out into the neighborhood and taking a walking tour led by a resident of Weinland Park. We also met teenagers that are active at the Godman Guild. Some students even helped work on a mural being painted on the corner of Eleventh and Grant Avenues, hoping to provide a visible entrance into the neighborhood.

Before interviewing residents of the area, we were briefed on the current efforts taking place in Weinland Park and found that no history had ever been written about the area. Residents have expressed the need for a written history to increase visibility, to create a neighborhood identity, and to gain clues about the timing and causes of neighborhood change and decline.

Collecting oral histories from long-time residents seemed to be a great way to meet these requests. Oral histories are created for many reasons and we hope to use the information gathered to provide the community with a sense of pride and hope for the future.

After one-on-one interviews with the residents in the area, some of us were invited into residents' homes, while others met somewhere else to conduct the interviews. Many of the students in the class, including myself, found that the neighborhood -- which many students will try to avoid at all costs -- faces many of the same problems that Dudley Street faced. Residents complained about the high turnover rate, absentee landlords, and residents that don't take care of their properties, as well as crime and violence.

This is an area that I had only seen by driving to and from downtown going down Third or Fourth Streets, and I can admit, I didn't really have a very high opinion of the area either. But after being invited to the home of Drexel Thomas, a retired University worker who has actually lived in the neighborhood and in the same house since 1958, my perception of Weinland Park completely changed. After talking to her -- and also talking to other class members to see what they had found out in their interviews -- I was pleasantly surprised to find out that many residents of Weinland Park do care about the area they live in and they feel it's a great neighborhood. Many have resided in the area for years and years, and have no intentions of leaving.

By talking to residents and finding out about the many projects that have been taking place, as well as from what I saw on the tour, I realize that this neighborhood could be turned around just like Dudley Street. Many residents of Weinland Park are very optimistic about the future of the community and feel that they are taking steps in the right direction.

After gathering all of the information from our interviews, the class decided that it would be a great way to end the quarter if we could invite the community, along with the residents that we interviewed, to a celebration at the Human Ecology House. With the help of Dr. Jackson and others, a slide show was put together with pictures and quotes from the interviews and students in the class. We showed the slide show at the celebration. The day was a big success and an excellent way to end a productive quarter. It also drew attention to the neighborhood and to show the residents that they can make a difference -- they just need to pull together.

Overall, I think that the 20 students in the class found the entire experience to be rewarding and a refreshing change from the typical classroom setting. Class

**PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)**

Ms. Solove: (contd)

discussion and reflections made us think about the course content and what we had learned. By relating this information to an area that we could actually see and that we became familiar with, made the course much more effective.

I feel that service-learning courses such as Dr. Jackson's class, give students an experience they cannot gain from reading textbooks and listening to lectures. It allows the conventional classroom walls to be broken and enables students to get out into the community, learning lessons that will last them a lifetime. I know that this course will stand out in my mind for years to come.

Dr. Jackson:

Thank you, Rachel. We appreciate this opportunity to present some of the exciting initiatives that contribute to student leadership development at Ohio State. Don and I were especially pleased to be asked to present as a team, because we represent two of many faculty and staff who are committed to collaboration in this important work. Thank you.

Mr. Hall:

Mr. Chairman, that completes our presentation. I would like to emphasize that the heart of these initiatives are collaboration and teamwork between Academic Affairs and Student Affairs. There are some exciting initiatives that are occurring. If you have any questions for the panelists, I'm sure they would be happy to address them.

Mr. Brennan:

Any questions? Dan --

Mr. Slane:

Did you find any reasons for the decline and solutions for the Weiland Park area?

Ms. Solove:

We did find a lot of reasons why people felt that the neighborhood had changed and when the decline took place. A lot of it had to do with the infiltration of Section VIII housing in the mid-'70s. Also, the crime rate has always been a problem there. There are pockets of the area that are nice and where the residents take care of their properties.

I'm actually working on compiling the information that we found, along with other information, to give to the community so that they can use it as a resource. They need something that ties the community together, so they can pull together. There are a lot of people that are interested, but nobody seems to keep the flame going. It just seems to die out after a couple of years or they just haven't really been able to get over the initial hump to pull things together.

I think a related problem is the fact that the home ownership rate is very low, so there is a very high turnover. It is difficult to sustain an effort because people come and go so often.

**PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)**

Mr. Brennan:

Any other questions? Thank you very much.

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**STUDENT RECOGNITION AWARD**

Mr. Shultz:

Ladies and gentlemen, today the Board of Trustees has the opportunity to put a face on academic excellence through our Student Recognition program. Nominated by Dr. Robert Gold, dean of the College of Mathematical and Physical Sciences, we are proud to recognize Amy Stutz, an undergraduate student majoring in physics.

Amy exemplifies what it means to be a student here at The Ohio State University through her rigorous research in the Department of Astronomy. Amy has had a unique path to finding her passion of astronomy here at The Ohio State University. She became interested in astronomy after an introduction class on research offered to non-science majors. Amy says that another factor in her success was finding a wonderful faculty advisor. Dr. Andrew Gould, professor of Astronomy, not only helped Amy schedule the right classes, but also introduced her to the possibilities of undergraduate research.

As a sophomore, Amy started to assist Dr. Gould with his research. To date, she has coauthored two published papers with Dr. Gould based on research that she has conducted concerning distance, determination, and the amount of dust in the galaxy. She continues to work with other professors in the Astronomy Department in data reduction and analysis. Currently, Amy is applying to graduate programs around the country in the hopes of continuing her education in astronomy.

Amy, on behalf of President Kirwan and the Board of Trustees, I wish to congratulate you on your accomplishments and wish you the best of luck in achieving your goals, as I present to you the Student Recognition Award.

Ms. Amy Stutz:

Thank you very much for the recognition. I'd also like to thank the Departments of Physics and Astronomy – especially, Astronomy – for providing me with so many opportunities.

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**CONSENT AGENDA**

President William E. Kirwan:

We have thirteen resolutions on the Consent Agenda today and I would like to ask that numbers 7, 8, 9, and 13 be considered in separate motions. Unless there are any objections, I would like to recommend the following resolutions to the Board:

**REGIONAL CAMPUS BOARD APPOINTMENT**

Resolution No. 2002-42

Synopsis: Approval of appointment to The Ohio State University-Lima Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to the Lima Regional Campus Board for the term as specified:

Lima Board Appointment

Tanja H. Nihiser, term November 1, 2001 – June 30, 2002  
(student appointment)

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of The Ohio State University-Lima Board, effective November 1, 2001.

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**APPOINTMENTS TO THE ENGINEERING EXPERIMENT  
STATION ADVISORY COUNCIL**

Resolution No. 2002-43

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory council made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Council "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state:"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President William Kirwan, pursuant to the request of James C. Williams, Dean and Director, College of Engineering, Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Council:

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT  
STATION ADVISORY COUNCIL (contd)**

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
K. Cheena Srinivasan	Chair and Professor of Mechanical Engineering	11/1/2001 - 1/31/2004
Linda K. Weavers	Assistant Professor of Civil and Environmental Engineering and Geodetic Science	11/1/2001 - 1/31/2004

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**PERSONNEL ACTIONS**

Resolution No. 2002-44

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the October 5, 2001 meeting of the Board, including the following Appointment, Reappointment of Chairperson, Leaves of Absence Without Salary, Leave of Absence Without Salary--Cancellation, Professional Improvement Leave, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved September 28, 2001, by The Ohio State University Hospitals Board, be ratified.

Appointment

Name: JAY D. IAMS  
Title: Professor (The Frederick P. Zuspan, M.D. Chair in Obstetrics and Gynecology)  
Department: Obstetrics and Gynecology  
Term: November 1, 2001 through June 30, 2003

Reappointment of Chairperson

July 1, 2001 through June 30, 2005

Veterinary Clinical Sciences

Robert G. Sherding

Leaves of Absence Without Salary

PETER P. SWIRE, Professor, College of Law, effective August 16, 2001, through August 16, 2002, to serve as a Visiting Professor of Law at George Washington University.

WILLIAM H. VON HIPPEL, Associate Professor, Department of Psychology, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to do collaborative research with Dr. Joe Forgas at the University of New South Wales.

Leave of Absence Without Salary--Cancellation

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to serve as a Senior Economist in the Economic Department, Ministry of Planning, in Kuwait.

**PERSONNEL ACTIONS (contd)**

Professional Improvement Leave

ARNON E. REICHERS, Associate Professor, Department of Management and Human Resources, effective Spring Quarter 2002.

Emeritus Titles

NICHOLAS GERBER, Department of Pharmacology, with the title Professor Emeritus, effective January 1, 2002.

CHARLES J. SLANICKA, Office of Academic Affairs Administration, with the title Professor Emeritus, effective November 1, 2001.

ELEANOR A. AMES, Ohio State University Extension, with the title Instructor Emeritus, effective November 1, 2001.

Medical Staff Appointments (The Ohio State University Medical Center)

September 2001

Doreen M. Agnese, M.D., Surgery, Fellowship 1<sup>st</sup> Year, 07/01/2001-06/30/2003  
Anthony D. Agra, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003  
Naushaba Ahmad, M.D., Pathology, Fellowship 1st Year, 07/01/2001-06/30/2003  
Emin B. Akin, M.D., Surgery, Fellowship 1st Year, 07/01/2001-06/30/2003  
Aamer Ar-Rajab, M.D., Ph.D., Surgery, Attending, 07/17/2001-06/30/2003  
Cindy M. Baker, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003  
Nirmala P. Bangalore, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2003  
Thomas J. Birong, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2003  
Kevin W. Bowers, D.O., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003  
Darrin L. Bright, M.D., Family Medicine, Attending, 09/18/2001-06/30/2003  
Christopher P. Caputo, D.O., Internal Medicine, Attending, 09/18/2001-06/30/2003  
Ellen H. Chen, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003  
Natalie M. Coyne, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2003  
April C. Deng, M.D., Pathology, PGY6 Limited, 07/01/2001-06/30/2003  
Jennifer A. Dickerson, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003  
Rajesh R. Donthi, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003  
Osama M. El-Sayed, M.D., Internal Medicine, Fellowship 1st Year, 08/28/2001-06/30/2003  
Michael F. Evers, D.O., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003  
Bruce M. Fletter, M.D., Surgery, PGY6 Limited, 07/01/2001-06/30/2003  
Eric G. Fox, D.D.S., Dentistry, PGY2 Limited, 07/01/2001-06/30/2003  
Bela M. Gandhi, M.D., Psychiatry, PGY1 Limited, 07/01/2001-06/30/2003  
David R. Giammar, M.D., Surgery, Fellowship 1st Year, 07/01/2001-06/30/2003  
Gregory E. Guy, M.D., Radiology, Attending, 09/14/2001-06/30/2003  
Thomas H. Hammond, M.D., Otolaryngology, PGY2 Limited, 07/01/2001-06/30/2003  
Shannon L. Huggins, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003  
Nandan V. Kamath, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003  
Jennifer D. Killion, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003  
Kelly E. Lindsay, M.D., Physical Medicine and Rehabilitation, PGY2 Limited, 09/06/2001-06/30/2003  
Scott A. Luthman, M.D., Pediatrics, PGY2 Limited, 07/01/2001-06/30/2003  
James R. Maggart, M.D., Surgery, PGY3 Limited (Rotating), 10/01/2001-10/31/2001  
James A. McHale, M.D., Ophthalmology, PGY2 Limited, 07/01/2001-06/30/2002  
Sarah E. McMillan, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002  
Jason V. Melillo, M.D., Obstetrics and Gynecology, Courtesy, 07/17/2001-06/30/2002  
Yousef M. Mohammad, M.D., Neurology, Attending, 09/14/2001-06/30/2002  
Daniel P. Montero, M.D., Family Medicine-Sports Medicine, PGY4 Limited, 09/28/2001-06/30/2002  
Juan E. Morales, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002

**PERSONNEL ACTIONS (contd)**

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

September 2001 (contd)

Olusegun O. Osinbowale, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002  
James C. Pavelka, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/01/2001-06/30/2002  
Nino D. Pollaccia, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2002  
Steven V. Priano, M.D., Orthopaedic Surgery, Community Affiliate Physician, 08/21/2001-06/30/2002  
Olga V. Pylaeva, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2002  
William G. Raisig, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2002  
Veda Ramakrishnan, M.D., Psychiatry, PGY1 Limited, 07/01/2001-06/30/2002  
Lynn W. Rawcliffe, D.P.M., Podiatry, PGY1 Limited, 07/01/2001-06/30/2002  
Jennifer M. Richardson, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002  
Aida Safar, M.D., Pathology, Fellowship 1st Year, 07/01/2001-06/30/2002  
Dawn M. Salvatore, M.D., Surgery, Attending, 10/01/2001-06/30/2002  
Matthew J. Sanders, D.O., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002  
Amy Marie Schultz, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002  
Nilesh Shah, M.D., Family Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002  
Igor Shendrik, M.D., Pathology, PGY6 Limited, 07/01/2001-06/30/2002  
Barry A. Siegfried, M.D., Pathology, Fellowship 1st Year, 09/28/2001-06/30/2002  
Kathleen C. Stiles, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002  
David B. Thurgood, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2002  
Bryan W. Tillman, M.D., Ph.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002  
Larry T. Todd, Jr., D.O., Orthopaedic Surgery, Community Affiliate Physician, 08/24/2001-06/30/2002  
Christopher W. Valentine, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002  
Gregory W. Walker, D.O., Surgery, PGY1 Limited, 07/01/2001-06/30/2002  
Michael T. Walsh, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002  
Yan Wang, M.D., Pathology, PGY2 Limited, 07/01/2001-06/30/2002  
Thomas S. Weber, M.D., Family Medicine, Fellowship 1st Year, 09/28/2001-06/30/2002  
Martha M. Yearsley, M.D., Pathology, PGY1 Limited, 07/01/2001-06/30/2002  
Gregory C. Yerington, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2002  
Chang- Jiang Zheng, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002

Medical Staff Requests for Special/Additional Privileges (The Ohio State University Medical Center)

September 2001

Benita Jackson, M.D., Public Health & Emergency Medicine, Attending, Internist-Health Connections, 09/28/2001-06/30/2001  
Jason V. Melillo, M.D., Obstetrics and Gynecology, Courtesy, CO2 Laser, Intrauterine Balloon Hyperthermia Ablation, Laparoscopy, 07/17/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Hospitals East)

September 2001

Nirmala P. Bangalore, M.D., Family Medicine, PGY1 Limited, 09/28/2001-06/30/2003  
Kevin W. Bowers, D.O., Anesthesiology, PGY2 Limited, 09/28/2001-06/30/2003  
Jeffrey A. Clark, M.D., Anesthesiology, PGY3 Limited, 09/28/2001-06/30/2003  
Natalie M. Coyne, M.D., Family Medicine, PGY1 Limited, 09/28/2001-06/30/2003  
Kiran K.Devulapally, M.D., Internal Medicine, MMT, Active, 09/28/2001-06/30/2003  
Mohammed F. Edwards, M.D., Anesthesiology, Active, 07/01/2001-06/30/2003  
Michael F. Evers, D.O., Anesthesiology, PGY2 Limited, 09/28/2001-06/30/2003  
Richard B. Fries, M.D., Obstetrics and Gynecology, PGY1 Limited, 09/28/2001-06/30/2003  
Lisa S. Gaines, D.O., Anesthesiology, PGY4 Limited, 09/28/2001-06/30/2003



**PERSONNEL ACTIONS (contd)**

Medical Staff Appointments (The Ohio State University Hospitals East) (contd)

September 2001 (contd)

Shefali A. Gandhi, M.D., Obstetrics and Gynecology, PGY1 Limited, 09/28/2001-06/30/2003  
Benjamin J. Hackett, M.D., Orthopaedic Surgery, PGY1 Limited, 09/28/2001-06/30/2003  
Carlton W. Herald, D.O., Internal Medicine, Active, 09/28/2001-06/30/2003  
Maureen F. Jordan, M.D., Orthopaedic Surgery, PGY1 Limited, 09/28/2001-06/30/2003  
Daniel L. Kapp, M.D., Surgery, PGY4 Limited, 09/28/2001-06/30/2003  
Babak Khabiri, D.O., Anesthesiology, PGY2 Limited, 09/28/2001-06/30/2003  
Mollie K. Kreider, M.D., Obstetrics and Gynecology, PGY1 Limited, 09/28/2001-06/30/2003  
Joseph R. Leith, M.D., Orthopaedic Surgery, PGY1 Limited, 09/28/2001-06/30/2003  
Lisa K. Lowery, M.D., Surgery, Active, 09/28/2001-06/30/2003  
Sarah E. McMillan, M.D., Surgery PGY1 Limited, 09/28/2001-06/30/2002  
Andrei V. Manilchuk, M.D., Surgery, PGY4 Limited, 09/28/2001-06/30/2002  
Dean J. Mikami, M.D., Surgery, PGY4 Limited, 09/28/2001-06/30/2002  
Yousef M. Mohammad, M.D., Internal Medicine, Active, 09/28/2001-06/30/2002  
Olusegun O. Osinbowale, M.D., Surgery, PGY1 Limited, 09/28/2001-06/30/2002  
James C. Pavelka, M.D., Obstetrics and Gynecology, PGY1 Limited, 09/28/2001-06/30/2002  
Steven V. Priano, M.D., Orthopaedic Surgery, Active, 09/28/2001-06/30/2002  
William G. Raisig, M.D., Family Medicine, PGY1 Limited, 09/28/2001-06/30/2002  
Nilsa Del Carmen Ramirez, M.D., Pathology, Active, 09/28/2001-06/30/2002  
Lynn W. Rawcliffe, D.P.M., Orthopaedic Surgery, PGY1 Limited, 09/28/2001-06/30/2002  
Dawn M. Salvatore, M.D., Surgery, Active, 09/28/2001-06/30/2002  
Mohammed R. Shareef, M.D., Internal Medicine, Active, 08/28/2001-06/30/2002  
Robert B. VanCourt, D.P.M., Orthopaedic Surgery, Active, 08/21/2001-06/30/2002  
Michael T. Walsh, M.D., M.D., Surgery, PGY1 Limited, 09/28/2001-06/30/2002  
Gregory C. Yerington, M.D., Anesthesiology, PGY2 Limited, 09/28/2001-06/30/2002  
Chang-Jiang Zheng, M.D., Surgery, PGY1 Limited, 09/28/2001-06/30/2002

Medical Staff Requests for Additional Privileges (The Ohio State University Hospitals East)

September 2001

Mohammed R. Shareef, M.D., Internal Medicine, Active, Moderate Sedation, 08/28/2001-06/30/2002  
Robert B. VanCourt, D.P.M., Orthopaedic Surgery, Active, C02 Lasers, 08/21/2001-06/30/2002

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**RESOLUTION IN MEMORIAM**

Resolution No. 2002-45

Synopsis: Approval of a Resolution in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolution in Memoriam and that the President be requested to convey a copy to the family of the deceased.

Charles Saltzer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 9, 2001, of Charles Saltzer, Professor Emeritus in the Department of Mathematics and former professor in the Department of Computer and Information Science.

**RESOLUTION IN MEMORIAM (contd)**

Charles Saltzer (contd)

Professor Saltzer was born in Cleveland in 1918 and received his Bachelor of Arts degree from Western Reserve University in 1942. He held master's degrees from the University of Nebraska and Brown University, and a Ph.D. degree in mathematics from Brown University. Before joining The Ohio State University Mathematics Department in 1962, he taught at Case Institute of Technology and at the University of Cincinnati.

Charles Saltzer was a specialist in analysis and applied mathematics. He actively promoted cooperation between the Departments of Mathematics and Computer and Information Science. In 1968, he was appointed a professor of Computer and Information Science in addition to his professorship in the Department of Mathematics.

In addition to his contributions to research in applied mathematics, Professor Saltzer was an extremely kind and versatile teacher on both the undergraduate and the graduate level. He regularly taught courses both in mathematics and in computer and information science. Although he demanded strong performance, he was devoted to his students and worked to ensure that they learned both theory and applications.

After his retirement in 1988, Dr. Saltzer continued to teach one course each year in the Mathematics Department. During the summer of 2001 he was teaching a basic course in ordinary differential equations, and he wrote the final examination for that course just a few days before his death. Professor Saltzer remained an active mathematician and an inspired teacher to the very end.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Charles Saltzer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

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**REPORT OF RESEARCH CONTRACTS AND GRANTS**

Resolution No. 2002-46

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for September 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of September 2001 be approved.

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**REPORT ON UNIVERSITY DEVELOPMENT**

Resolution No. 2002-47

Synopsis: The report on the receipt of gifts and the summary for September 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of seven (7) new named endowed funds and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of September 2001 be approved.

**TOTAL UNIVERSITY PRIVATE SUPPORT**

July through September  
2000 Compared to 2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars		<u>%Change</u>
	<u>2000</u>	July through September <u>2001</u>	
Individuals:			
Alumni (Current Giving)	\$3,997,134	\$4,086,507	2
Alumni (From Bequests)	<u>533,734</u>	<u>1,565,329</u>	193
Alumni Total	\$4,530,868	\$5,651,836	25
Non-Alumni (Current Giving)	\$1,643,150	\$2,916,130	77
Non-Alumni (From Bequests)	<u>915,861</u>	<u>918,795</u>	0
Non-Alumni Total	\$2,559,011	\$3,834,925	50
Individual Total	\$7,089,879	\$9,486,761	34 <sup>A</sup>
Corporations/Corp/Foundation	\$7,850,969	\$8,124,136	3
Private Foundations	\$2,371,163	\$3,102,024	31 <sup>B</sup>
Associations and Other Organizations	<u>\$1,032,193</u>	<u>\$842,950</u>	(18) <sup>C</sup>
Total	\$18,344,204	\$21,555,871	18

NOTES

- A Individual giving is up 34% largely due to the fact that gifts of \$10,000 or more were up 34% (80 gifts for \$4.4 million last year; 88 gifts for \$5.9 million this year).
- B Foundation giving at the \$10,000 or more level is up for the first three months of the fiscal year (\$2.2 million from 35 gifts last year; \$2.9 million from 38 gifts this year).
- C Gifts from associations and other organizations are down 18% since giving at the \$10,000 or more level is \$365,671 less than last year for the same period.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-September  
2000 Compared to 2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through September		
	<u>2000</u>	<u>2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$2,406,028	\$3,672,627	53
Faculty Support	\$2,658,438	\$519,130	(80)
Program Support	\$8,483,925	\$12,918,087	52
Student Financial Aid	\$2,894,272	\$3,306,078	14
Annual Funds-Colleges/Departments	\$1,771,882	\$1,018,652	(43)
Annual Funds-University	<u>\$129,659</u>	<u>\$121,297</u>	(6)
Total	\$18,344,204	\$21,555,871	18

GIFT ADDITIONS TO ENDOWMENT

Dollars  
July through September

	<u>2000</u>	<u>2001</u>	<u>% Change</u>
	\$5,342,397	\$5,665,807	6%

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Change in Description of Named Endowed Funds

The Modern Greek Studies Fund

The Honda/TRC Funds Endowment for Interdisciplinary Research Infrastructure Support

Change in Name and Description of Named Endowed Fund

From: The M.S. Sofia Professorship in Arabic Studies Fund

To: The M. S. Sofia Chair in Arabic Studies Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Bud and Marilyn Jenne Chair Fund (Further the activities of the College of Veterinary Medicine; provided by a gift from Marilyn Jenne)		\$320,136.32	\$320,136.32
The Lynne Shepard Jones Endowment Fund for Cancer Research (Support cancer research with preference given to head and neck cancer research or area of cancer research in greatest need; provided by a gift from Arthur E. and Dorothy D. Shepard)		\$300,000.00	\$300,000.00
The Adivar Fellowship Fund for Study of Ottoman and Turkish History (Fund a graduate fellowship in Ottoman and Turkish studies; provided by gifts from an anonymous donor)		\$250,000.00	\$250,000.00
The Theodore A. and Ruth S. Koch Memorial Fund (Commemorate Theodore A. and Ruth S. Koch and their desire to advance the quality of teaching and research within the College of Engineering; provided by gifts from the estate of Ruth S. Koch)		\$200,000.00	\$200,000.00
The Donn, Marilyn and David Alspach Family Scholarship Fund (Provide scholarship costs of student athletes who are pursuing undergraduate degrees at OSU and participating on the varsity football team; provided by gifts from Donn, Marilyn and David Alspach)		\$25,000.00	\$25,000.00

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Dr. Daniel N. and Ruth A. Ebert Scholarship Fund (Support one third-year student per year based on merit who is interested in practicing small animal medicine; provided by gifts from Daniel N. and Ruth A. Ebert)		\$25,000.00	\$25,000.00
The Johnson Family Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team pursuing an undergraduate degree at OSU; provided by gifts from John V. Johnson)		\$25,000.00	\$25,000.00
Total		\$1,145,136.32	\$1,145,136.32

THE OHIO STATE UNIVERSITY ENDOWMENT FUND

Change in Description of Named Endowed Funds

The Modern Greek Studies Fund

The Modern Greek Studies Fund was established August 29, 2001, by the Board of Trustees of The Ohio State University from the earnings of the Modern Greek Studies development account in the Department of Greek and Latin. The description was revised on November 2, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the teaching and research mission of the Modern Greek Program as determined by the chair of the Department of Greek and Latin.

It is desired that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Humanities in consultation with the chair of the Department of Greek and Latin.

The Honda/TRC Funds Endowment for Interdisciplinary  
Research Infrastructure Support

The Honda/TRC Funds Endowment for Interdisciplinary Research Infrastructure Support was established August 29, 2001, by the Board of Trustees of The Ohio State University with a gift from the Transportation Research Center, Inc. ("TRC") of East Liberty, Ohio, in recognition of Honda Motor Co., Ltd.'s and Honda of America Mfg., Inc.'s (collectively "Honda") established interest in enriching laboratories for world-class, interdisciplinary transportation-related research. The description was revised on November 2, 2001.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Description of Named Endowed Funds (contd)

The Honda/TRC Funds Endowment for Interdisciplinary  
Research Infrastructure Support (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of Engineering to support centralized interdisciplinary transportation-related research laboratory facilities within the College of Engineering. The Honda-OSU Planning Team ("Team") or its successor will offer the dean recommendations for the use of the income. The dean will report annually to the Team, TRC, and Honda on results of the previous year and the goals, objectives, and plans for the upcoming year; these reports will include the use of all fund earnings over the same two-year period.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

Change in Name and Description of Named Endowed Fund

The M. S. Sofia Chair in Arabic Studies Fund

The M. S. Sofia Scholarship and Lecture Memorial Fund in Arabic was established June 1, 1990, by the Board of Trustees of The Ohio State University with gifts from Zuheir Sofia. The name was changed to The M.S. Sofia Professorship in Arabic Studies Fund and the description was revised on May 5, 1995. With a major gift commitment of Mr. Zuheir Sofia to bring the fund to the level necessary for funding a chair, the name and description were further revised on November 2, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

In addition to gifts, the annual income will be applied to the principal until the fund reaches \$1,500,000. Thereafter, the annual income will be used to support a distinguished scholar whose professional activities and academic credentials will contribute to:

1. The development of a greater understanding and awareness of Arabic language, culture, heritage, and literature;
2. The dissemination of such knowledge in both the academy and the community; and,
3. The training of pre-collegiate teachers and university professors to integrate Arabic language, literature, and cultural components into their respective curricula and programs.

The donor and the head of the Department of Near Eastern Languages and Cultures in consultation with the dean of the College of Humanities shall periodically review the achievement of the above-described purposes and discuss modifications, if necessary.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Fund (contd)

The M. S. Sofia Chair in Arabic Studies Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Bud and Marilyn Jenne Chair Fund

The Bud and Marilyn Jenne Chair Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Marilyn Jenne, Amherst, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will be used at the discretion of the dean of the College of Veterinary Medicine to further the activities of the college. Any income not used in any given year shall be returned to principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$320,136.32

The Lynne Shepard Jones Endowment Fund for Cancer Research

The Lynne Shepard Jones Endowment Fund for Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Arthur E. and Dorothy D. Shepard of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research with preference given to head and neck cancer research or the area of cancer research in greatest need of support at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.



**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

The Lynne Shepard Jones Endowment Fund for Cancer Research (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$300,000.00

The Adivar Fellowship Fund for Study of Ottoman and Turkish History

The Adivar Fellowship Fund for Study of Ottoman and Turkish History was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from an anonymous donor.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The purpose of this endowment is to strengthen and perpetuate the study of Ottoman and Turkish history, a field taught and studied at this University since the 1930s, and to enhance the national and international competitiveness and distinction of the University in this field. The donor intends more specifically to endow a graduate fellowship in Ottoman and Turkish studies. The annual income shall be used first and foremost to provide a fellowship to recruit or retain a graduate student in Ottoman and Turkish history. Secondly, in cases of exceptional merit, the fellowship may be used to fund overseas dissertation research for a student excluded from, or disadvantaged in, competition for non-departmental research fellowships by reason of nationality or other factors extraneous to the student's merit and that of his or her dissertation research. Graduate students of any nationality are eligible to receive this fellowship. The awarding of this fellowship will be determined by the chair of the Department of History in consultation with faculty in the field and the University Committee on Student Financial Aid.

This fellowship will be awarded for one year at a time to a graduate student who has been accepted into the graduate program of the Department of History and approved for funding (stipend and fee authorization) in that program. The fellowship will provide the recipient's stipend during the year or years of their tenure of the fellowship, enabling them to devote full time to their studies.

The purpose of this endowment being to provide support in perpetuity for the study of Ottoman and Turkish history, the donor intends that if the fund grows or if he can increase its principal sufficiently over time, the form in which the fund supports the field may be revised, up to and including the creation of an endowed professorship in this field, and that during his lifetime any such revision will be made at his initiative or with his consent. Alternatively, if the fund declines to the point that it cannot support a full graduate fellowship, it is the intention of the donor that the income from this fund should be used to provide research support funding for graduate students in this field, to purchase books in the field for the library, or lastly to support the field in other ways, and that the need for the fund shall not be deemed to have ceased to exist until it becomes impossible to use the income of the fund for any of these purposes.

If in any year there is no qualified candidate for the fellowship or the income is not fully used for any reason, any such unused income will be reinvested to principal.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

The Adivar Fellowship Fund for Study of Ottoman and Turkish History (contd)

The fellowship will be known for the time being as "The Adivar Fellowship." The donor reserves the right to rename the fellowship permanently at a later date.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Humanities in order to carry out the desire of the donor.

\$250,000.00

The Theodore A. and Ruth S. Koch Memorial Fund

The Theodore A. and Ruth S. Koch Memorial Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Ruth S. Koch in memory of her late spouse Theodore A. Koch (B.E.E., 1930).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to commemorate Theodore A. and Ruth S. Koch and their desire to advance the quality of teaching and research within the University's College of Engineering. The dean of the College of Engineering will be responsible for allocating these discretionary earnings in ways to enhance the College.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of Engineering in order to carry out the desire of the donor.

\$200,000.00

The Donn, Marilyn and David Alspach Family Scholarship Fund

The Donn, Marilyn and David Alspach Family Scholarship Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donn (B.S.Ed. 1953), Marilyn (B.S.Ed. 1953), and David (B.S.Bus.Adm., 1981) Alspach of Granville and Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the varsity football team. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

**REPORT ON UNIVERSITY DEVELOPMENT (contd)**

**THE OHIO STATE UNIVERSITY FOUNDATION (contd)**

Establishment of Named Endowed Funds (contd)

The Donn, Marilyn and David Alspach Family Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

The Dr. Daniel N. and Ruth A. Ebert Scholarship Fund

The Dr. Daniel N. and Ruth A. Ebert Scholarship Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Daniel N. (D.V.M., 1961) and Ruth A. Ebert of Poland, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one (1) third-year student per year based on merit who is interested in practicing small animal medicine. First preference will be given to students in these counties in the following order: Mahoning, Trumbull, Huron, or Erie. If a student from one of these counties is not eligible, then the most qualified student from any county in Ohio may be selected. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donors.

\$25,000.00

The Johnson Family Athletic Scholarship Fund

The Johnson Family Athletic Scholarship Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. John V. Johnson II, Westerville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

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**WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS**

Resolution No. 2002-48

JULY – SEPTEMBER 2001

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of July - September 2001; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 48 waivers of competitive bidding requirements for annual purchases totaling approximately \$8,429,300 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 36 waivers of competitive bidding requirements for annual purchases totaling approximately \$9,884,100 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 2001, is hereby accepted.

(See Appendix XV for background material, page 533.)

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**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION  
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND  
REPORT OF AWARD OF CONTRACTS**

Resolution No. 2002-49

PHYSICAL SCIENCES RESEARCH BUILDING,  
MARION CAMPUS – STUDENT HOUSING,  
NEWARK CAMPUS – STUDENT HOUSING,  
NEWARK CAMPUS – REESE CENTER,  
PSYCHOLOGY BUILDING,  
OHIO UNION RENOVATION AND ADDITION,  
AUTOMATED TRANSPORT SYSTEM – UNIVERSITY MEDICAL CENTER – PHASE I,  
UNION COUNTY LARGE ANIMAL CLINIC FACILITY,  
LIFE SCIENCES RESEARCH BUILDING, AND  
POSTLE HALL RESEARCH LAB RENOVATIONS

Synopsis: Authorization to employ architect/engineering firms for the Marion Campus – Student Housing, Newark Campus – Student Housing, Psychology Building, Ohio Union Renovation and Addition, and Union County Large Animal Clinic Facility projects, employ construction managers

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION  
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND  
REPORT OF AWARD OF CONTRACTS (contd)**

for the Physical Sciences Research Building, Marion Campus – Student Housing, Newark Campus – Student Housing, Psychology Building, and Ohio Union Renovation and Addition projects, request construction bids for the Newark Campus – Reese Center, Automated Transport System for University Medical Center – Phase I, and Union County Large Animal Clinic Facility projects, and acceptance of the report of award of contracts for the Life Sciences Research Building and Postle Hall Research Lab Renovations projects are requested.

WHEREAS the University desires to employ limited construction manager services for the Physical Sciences Research Building to assist with scheduling of the project phases; and

WHEREAS the estimated costs of the limited construction manager services are \$1,000,000, with funding provided by Central University funds; and

WHEREAS the Marion Campus desires to design a facility to provide on-campus student housing which includes four-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking, and laundry; and

WHEREAS the total estimated project cost is \$7,045,753 in 2004 dollars and the total estimated construction cost is \$5,250,190 in 2004 dollars, with funding provided by University bond proceeds with debt service paid by Student Affairs; and

WHEREAS the Newark Campus desires to design a facility to provide on-campus student housing which includes four-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking, and laundry; and

WHEREAS the total estimated project cost is \$9,915,473 in 2004 dollars and the total estimated construction cost is \$7,388,578 in 2004 dollars, with funding provided by University bond proceeds with debt service paid by Student Affairs; and

WHEREAS the Newark Campus desires to construct an information technology center to support the academic mission, provide student gathering and activity spaces, and serve as a conference center for the community; and

WHEREAS the total estimated project cost is \$13,334,580 and the total estimated construction cost is \$11,234,441, with funding provided by gifts to the University (\$7,623,000), future capital appropriations (\$3,445,050) and Central Ohio Technical College (\$2,266,530); and

WHEREAS the University desires to construct a new Psychology Building on the site east of Cunz Hall and west of Lazenby Hall; and

WHEREAS the total estimated project cost is \$35,000,000 and the total estimated construction cost is \$25,004,033, with funding provided by House Bill 640 (\$3,000,000) and anticipated future capital appropriations (\$32,000,000); and

WHEREAS the University desires to renovate and add an addition to the Ohio Union; and

WHEREAS the total estimated project cost is \$50,250,000 and the total estimated construction cost is \$35,309,782, with funding provided by University Central Funds (\$10,000,000), University bond proceeds with debt service paid by Student Affairs (\$17,200,000), Student Affairs (\$21,300,000), Ohio Union (\$1,000,000) and House Bill 850 (\$750,000); and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION  
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND  
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS University Hospitals desires to proceed with the installation of an Automated Transport System for the University Medical Center with the first phase of the project accomplishing renovation to the various Medical Center facilities to accommodate the new system; and

WHEREAS the Board of Trustees authorized the requesting of construction bids for the anticipated \$950,000 Phase I of the project on December 3, 1999 and subsequently approved the revised project cost of \$1,850,000 on June 29, 2001; and

WHEREAS the scope of the project has been increased to include two related but previously separate projects, provide for asbestos removal, increase the system's service capacity, and upgrade the quality of materials to reduce long-term maintenance needs; and

WHEREAS, as a result of these programmatic changes, the total estimated project cost for Phase I has increased to \$4,187,175 and the total estimated construction cost for Phase I has increased to \$3,227,786, with funding provided by University Hospitals; and

WHEREAS the University desires to construct a Large Animal Clinic on land being purchased from Union County for use by the College of Veterinary Medicine; and

WHEREAS the total estimated project cost is \$1,400,000 and the total estimated construction cost is \$1,000,000, with funding provided by the College of Veterinary Medicine; and

WHEREAS resolutions adopted by the Board of Trustees on November 1, 1996 and September 1, 1999 authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

Life Sciences Research Building

This project constructs a new facility to house laboratory and office space for the departments of Zoology, Entomology and Plant Biology. The total project cost is \$26,776,650; funding is provided by University bond proceeds (\$2,300,000), House Bill 748 (\$21,840,556), House Bill 904 (\$800,000), Department of Physical Facilities (\$361,967), and central University funds (\$1,474,127). The estimated completion date is May 2003. The contracts awarded are as follows:

Design:	Burgess and Niple Ltd., Columbus, Ohio
Steam Line Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$266,000 * +
Estimate:	\$238,685 *
General Contract:	P. J. Dick, Pittsburgh, Pennsylvania
Amount:	\$11,670,000 *
Estimate:	\$13,070,000 *
Plumbing Contract:	Kirk Williams, Grove City, Ohio
Amount:	\$2,095,000 *
Estimate:	\$2,155,147 *
HVAC Contract:	Limbach Company, Columbus, Ohio
Amount:	\$3,959,493 * +
Estimate:	\$3,837,201 *

+ The Site Steam and HVAC contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

\* Recommended alternates included in these amounts.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION  
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND  
REPORT OF AWARD OF CONTRACTS (contd)**

Life Sciences Research Building (contd)

Electric Contract:	Buckeye Electric, Dayton, Ohio
Amount:	\$2,347,200 *
Estimate:	\$2,717,031 *
Total All Contracts:	\$20,337,693 *
Contingency Allowance:	\$2,539,261
Total Project Cost:	\$26,776,650

\* Recommended alternates included in these amounts.

Postle Hall Research Lab Renovations

This project converts the 4<sup>th</sup> floor clinic facilities into four generic research labs, providing mechanical, electrical, communications, and plumbing upgrades; replace ceiling, lighting, and flooring; and repainting. The total project cost is \$1,053,566; funding is provided by House Bill 850 (\$1,000,000) and College of Dentistry (\$53,566). The estimated completion date is February 2002. The contracts awarded are as follows:

Design:	Karlsberger Companies, Columbus, Ohio
General Contract:	Corna/Kokosing, Columbus, Ohio
Amount:	\$430,700 *
Estimate:	\$585,075 *
Plumbing Contract:	Radico, Inc., Columbus, Ohio
Amount:	\$153,000 * +
Estimate:	\$70,730 *
HVAC Contract:	Gateway Mechanical, Grove City, Ohio
Amount:	\$189,350 * +
Estimate:	\$164,605 *
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$91,007 * +
Estimate:	\$66,156 *
Asbestos Abatement Contract:	AHC, Columbus, Ohio
Amount:	\$7,796 *
Estimate:	\$7,885 *
Total All Contracts:	\$871,853 *
Contingency Allowance:	\$87,185
Total Project Cost:	\$1,053,566

+ The Plumbing, HVAC and electric contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

\* Recommended alternates included in these amounts.

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Marion Campus – Student Housing, Newark Campus – Student Housing, Psychology Building, Ohio Union Renovation and Addition, and Union County Large Animal Clinic Facility projects and that the fees for these services be negotiated between the firms selected and the University; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION  
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND  
REPORT OF AWARD OF CONTRACTS (contd)**

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for the Physical Sciences Research Building, Marion Campus – Student Housing, Newark Campus – Student Housing, Psychology Building, and Ohio Union Renovation and Addition projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That, subject to the execution of a memorandum of understanding among all the offices involved, the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for the Union County Large Animal Clinic Facility project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for the Newark Campus – Reese Center and Automated Transport System for University Medical Center – Phase I projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Life Sciences Research Building and Postle Hall Research Lab Renovation projects is hereby accepted.

(See Appendix XVII for background information and maps, page 535.)

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**SALE OF REAL PROPERTY**

Resolution No. 2002-50

3080 EAST MAIN STREET  
COLUMBUS, OHIO 43209

Synopsis: Authorization to sell the improved real property located at 3080 East Main Street, Columbus, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University owns improved real property located at 3080 East Main Street in Columbus, Ohio; and

WHEREAS University Medical Center has determined that this property no longer is needed for, and cannot be operated economically in support of, the Medical Center; and

WHEREAS Bexley Medical Building, LLC, has offered to purchase the property for \$370,000.00; and

WHEREAS the net proceeds from the sale of the property shall be used to support the University Medical Center; and

WHEREAS the appropriate University offices have determined that the sale of this property is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the Board of Trustees of The Ohio State University, to sell all of the



**SALE OF REAL PROPERTY (contd)**

University's right, title, and interest in the improved real property located at 3080 East Main Street, for \$370,000.00, upon such terms as are deemed to be in the best interest of the University.

(See Appendix XVII for background information and map, page 555.)

Upon motion of Ms. Longaberger, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Sofia, Slane, and Judge Duncan, and Meses. Longaberger and Hendricks.

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Dr. William J. Napier:

I would like to recommend the following resolutions to the Board:

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS BONDS  
SERIES 2002 A OF THE OHIO STATE UNIVERSITY**

Resolution No. 2002-51

Synopsis: Providing for the authorization, issuance and sale of General Receipts (the "Series 2002 A Bonds"), in a principal amount determined as provided herein, for the purposes of (A) the acquisition, construction and installation of the Series 2001/2002 Project, as defined herein; (B) advance refunding the outstanding principal amount of certain Series 1992A1 Bonds of the University (the "Advance Refunded Bonds"); (C) currently refunding a portion of the outstanding Series C Commercial Paper Notes of the University; and (D) paying costs and expenses associated with the issuance of the 2002 A Bonds.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS BONDS  
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$80,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$100,500,000 is issued and outstanding as of the date hereof; and

WHEREAS on December 1, 2000, the Board adopted a resolution (the "Series C Commercial Paper Resolution") which authorized the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series C (the "Series C Notes ") in a principal amount not to exceed \$125,000,000 of which authorized amount \$81,000,000 is issued and outstanding as of the date of execution and delivery hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 2, 2001 (the "Series 2001 Resolution"), the Indenture and the 2001 Supplement to Amended and Restated Trust Indenture (the "2001 Supplement"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds") in the principal amount not to exceed \$185,000,000 for the purpose of paying or reimbursing a portion of the costs of the Series 2001/2002 Project, advance refunding certain of the Series 1992A1 Bonds (the "Advance Refunded Bonds"), currently refunding a portion of the outstanding Series C Commercial Paper Notes of the University and paying costs and expenses associated with the issuance of the Series 2002 A Bonds; and

WHEREAS the University desires to make provisions for the issuance of the Series 2002 A Bonds and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a 2002 A Supplement to the Amended and Restated Trust Indenture (the "2002 A Supplement" and together with the Amended and Restated Trust Indenture, the "Indenture") herein authorized:

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS BONDS  
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

Section 1. Definitions and Interpretations. All words and terms defined in the Amended and Restated Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Amended and Restated Indenture but used herein and not previously defined herein are defined as follows:

"Certificate of Award" means the Certificate of Award authorized pursuant to Section 3 of this Resolution.

"Code" means the Internal Revenue Code of 1986, as amended, the Treasury Regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a Section of the Code means that Section, including any applicable successor section or provision and such applicable Treasury Regulations, rulings, announcements, notices, procedures and determinations pertinent to that Section.

"Contract of Purchase" means the Contract of Purchase between the Original Purchasers and the University, authorized pursuant to Section 3 hereof.

"Mandatory Sinking Fund Requirements" means amounts required by this Resolution to be deposited into the Debt Service Fund in any year for the purpose of retiring principal maturities of the Series 2002 A Bonds which by the terms of such Bonds are due and payable, if not called for prior redemption, in any subsequent fiscal year of the University.

"Official Statement" means, as to the Series 2002 A Bonds, the Official Statement including, without limitation, the Preliminary Official Statement, relating to the original issuance of the Series 2002 A Bonds, authorized pursuant to Section 3 hereof.

"Original Purchasers" means, as to the Series 2002 A Bonds, Lehman Brothers and Seasingood & Mayer LLC.

"Paying Agent" means the Trustee.

"Series 2002 A Bond Resolution" or "this Resolution" as used herein, means this Resolution and the Certificate of Award, as the same may be amended from time to time.

"Series 2001/2002 Project" means, collectively: (i) renovating and improving the (a) south high rise residence hall, including closet replacements, roof replacements, fire alarms system upgrades, elevator upgrades, and additional improvements, and (b) Houck House, including dining refurbishment; (ii) constructing and installing the Success Center; (iii) renovating Ohio Stadium; (iv) constructing Ohio Stadium scoreboard; (v) constructing a parking lot and road improvements on Fred Taylor Drive; (vi) renovating the north/south medical garages; (vii) installing the Ohio Micro Medical Device Laboratory; (viii) purchasing and renovating of an electric sub-station on Cannon Drive; (ix) constructing the Jesse Owens Memorial Stadium; (x) replacing the Sisson Hall Veterinary Building; (xi) installing of a central chilled water loop; and (xii) acquiring land and two buildings on Kenny Road; each of the foregoing items (i) through (xii) being a "Component" within the meaning of Section 5 hereof.

"Series 2001/2002 Project Account" means the Series 2001/2002 Project Account held by the University and created in Section 5 hereof to be funded in part with the proceeds of the Series 2002 A Bonds.

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Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in this Resolution, the Amended and Restated Trust Indenture and the 2002 A Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Series 2002 A Bonds shall be designated and known as "The Ohio State University General Receipts Bonds, Series 2002 A" and shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, this Resolution, the Amended and Restated Trust Indenture and the 2002 A Supplement. The Series 2002 A Bonds shall be issued for the purposes of financing a portion of the costs of the University Facilities comprising the Series 2001/2002 Project, advance refunding of the Advance Refunding Bonds and paying costs and expenses incidental to the issuance of the Series 2002 A Bonds.

(b) Form and Numbering. The Series 2002 A Bonds shall be issued only as fully registered bonds. The Series 2002 A Bonds shall be numbered as determined by the Trustee which will distinguish each Series 2002 A Bond from each other Series 2002 A Bond.

(c) Denominations and Dates. The Series 2002 A Bonds shall be issuable in denominations of \$5,000 and integral multiples thereof and shall be dated as of December 1, 2001.

(d) Principal Amount. The Series 2002 A Bonds shall be issued in the principal amount specified in the Certificate of Award, which amount shall not exceed \$185,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Original Purchasers to establish the date, location, procedures and conditions for the delivery of the Series 2002 A Bonds to the Original Purchasers and to take all steps as necessary to effect due execution, authentication, sale and delivery of the Series 2002 A Bonds to the Original Purchasers under the terms of this Resolution. The Series 2002 A Bonds shall be signed by the Treasurer in his official capacity (provided such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest and Maturities.

(i) The Series 2002 A Bonds shall bear interest on the unpaid principal amount thereof from the most recent date to which interest has been paid or duly provided for or, if no interest has been paid or provided for, from their date, with interests payable semi-annually on each June 1 and December 1 (the "Interest Payment Dates"), commencing June 1, 2002, at the percentage rate or rates per annum set forth in the Certificate of Award. Interest shall be calculated on the basis of a 360-day year consisting of twelve 30-day months. Such rate or rates shall in no event produce an average interest rate for the Series 2002 A Bonds in excess of seven percent (7.00%) per annum.

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(ii) The Series 2002 A Bonds shall mature not later than December 1, 2031 and in such annual amount or amounts as are provided for in the Certificate of Award.

(iii) Any mandatory redemption of Series 2002 A Bonds of certain maturities prior to maturity pursuant to any Mandatory Sinking Fund Requirements shall be as provided in the Certificate of Award. Notice of call for mandatory sinking fund redemption of the Series 2002 A Bonds shall be given in the manner provided in the indenture.

(iv) Certain maturities of the Series 2002 A Bonds, if so provided for in the Certificate of Award, shall be subject to optional redemption at the option of the University prior to stated maturity, in whole or in part, but if in part, only in installments of \$5,000 principal amount of such Series 2002 A Bond or integral multiples thereof, in accordance with the terms, conditions, redemption prices and on the dates set forth in the Certificate of Award. Notice of call for and other terms and provisions governing redemption of the Series 2002 A Bonds shall be given in the manner provided in the Indenture. At no time shall the redemption price on any Series 2002 A Bonds, exclusive of accrued interest, exceed one hundred and two percent (102%) of the principal amount of such Bond to be redeemed.

(g) Security. As provided in the Amended and Restated Trust Indenture as supplemented by the 2002 A Supplement, there is hereby pledged to the security of the Series 2002 A Bonds and for the payment of the Debt Service Charges on the Series 2002 A Bonds, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the monies contained in the Special Funds, but excluding the Bond Reserve Fund. Anything else to the contrary in the Indenture or this Resolution notwithstanding, the Series 2002 A Bonds shall not have access to any claim upon or be secured by the Bond Reserve Fund or the Series 2001/2002 Project Account.

The pledge of General Receipts in the immediately preceding paragraph shall be on a parity with expenses, claims and payments relating to other Parity Obligations and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University. Furthermore, the Pledge contained herein and the Amended and Restated Indenture is not in derogation of the pledge contained in the Original Indenture, the Prior Indenture, any Series Resolution adopted pursuant to the Original Resolution or any Bond proceedings with respect to any Obligations currently outstanding, it being the intention of the University that all such Obligations outstanding upon the execution and delivery of the Amended and Restated Trust Indenture shall be Parity Obligations within the meaning of the Amended and Restated Trust Indenture, equally and ratably secured by the pledge of General Receipts of the University herein and therein contained.

For the further security of the Series 2002 A Bonds, and any other Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series 2002 A Bonds or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other monies lawfully available therefor, to pay all costs and expenses required to be paid under the Bond proceedings, and (iii) together with other monies lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(h) Payment, Places of Payment and Paying Agents. The principal of and any redemption premium on any Series 2002 A Bond when due shall be payable to the registered holder upon presentation and surrender thereof at the principal corporate trust office of the Trustee. Interest on Series 2002 A Bonds shall be payable by check or draft mailed by the Trustee as provided in the Indenture; provided, however, that interest on Series 2002 A Bonds

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aggregating \$1,000,000 or more in principal amount registered in the name of a single holder shall be paid by wire transfer in immediately available funds to such account, if any, as such holder directs in writing to the Trustee at least ten (10) days prior to any Interest Payment Date, such payment by wire transfer pursuant to that direction continuing in effect as to subsequent Interest Payment Dates until such time as the holder notifies the Trustee to the contrary or until such time as such holder ceases to be a holder of the requisite principal amount of Series 2002 A Bonds.

(i) Book Entry. Subject to the provisions of the immediately following paragraph, the Series 2002 A Bonds shall be issued only to a Depository for holding in a Book Entry System. Those Series 2002 A Bonds shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series 2002 A Bonds shall be evidenced by a single certificate in the aggregate principal amount of the Series 2002 A Bonds maturing on each such maturity date.

If any Depository determines not to continue to act as a Depository for the Series 2002 A Bonds for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series 2002 A Bonds from the Depository, and authenticate and deliver the Series 2002 A Bond certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series 2002 A Bonds) of the University. Series 2002 A Bond certificates authenticated and delivered pursuant to this paragraph shall be in the denomination of \$5,000 or any integral multiple thereof.

Section 3. 2002 A Supplement; Contract of Purchase; Certificate of Award; Official Statement; Continuing Disclosure Agreement. To secure the pledge of General Receipts for the payment of Debt Service Charges on all Obligations, the execution, delivery and performance of the 2002 A Supplement in substantially the form on file with the Secretary of the Board is hereby approved and authorized. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name and on behalf of the University such Amended and Restated Trust Indenture, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. Execution by the officer or officers of the University shall be conclusive evidence that any such changes are not substantially adverse to the University. The Treasurer of the University is directed and authorized to solicit, with such assistance from the Original Purchasers, the Trustee or others as he shall, in his sole reasonable discretion, deem necessary, the consent of the holders of a majority in principal amount of Obligations outstanding to the execution and delivery of the 2002 A Supplement.

The Series 2002 A Bonds are sold and awarded to the Original Purchasers in accordance with the Contract of Purchase at the purchase price provided in the Certificate of Award. The form of Contract of Purchase now on file with the Secretary of the Board is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are permitted by the Act and approved on behalf of the University by the Chairman or the Treasurer. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall be conclusively evidenced by the execution of the Contract of Purchase on behalf of the University by the Chairman or the Treasurer. It is determined hereby that the purchase price and the manner of

**AUTHORIZATION, ISSUANCE AND SALE OF  
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sale and the terms of the Series 2002 A Bonds, as provided in this Resolution and the Contract of Purchase are consistent with all legal requirements and will carry out the public purposes of the Act.

The sale and award of the Series 2002 A Bonds shall be further evidenced by the Certificate of Award. The Certificate of Award is hereby authorized and shall be executed by the Chairman or the Treasurer. The Certificate of Award shall state or confirm the aggregate principal amount of the Series 2002 A Bonds, the purchase price of such Bonds, the interest rate or rates with respect to each maturity of such Bonds, the annual principal amount or amounts of such Bonds becoming due, the maturity dates, any Mandatory Sinking Fund Requirements and the optional redemption provisions, all as determined in accordance with the provisions of this Resolution and the Contract of Purchase, and all of which shall be conclusively evidenced by the execution of the Certificate of Award. The Certificate of Award shall also contain such other dates or provisions as the Chairman or the Treasurer determines are appropriate or necessary, and as are consistent with this Resolution, the Indenture, the 2002 A Supplement and the Contract of Purchase.

The use and distribution of the Preliminary Official Statement and the Official Statement relating to the Series 2002 A Bonds by the Original Purchasers is hereby approved, authorized and ratified. The Preliminary Official Statement is deemed final by the University for purposes of Securities Exchange Commission Rule 15c2-12 except for such omissions therefrom as may be permitted by such Rule. The Official Statement, substantially in the form of the Preliminary Official Statement now on file with the Secretary of the Board, shall be executed by the Chairman and the Treasurer or either of them, each in his official capacity on behalf of the University with any modifications, changes and supplements necessary or desirable for the purposes thereof which the Chairman or the Treasurer shall approve. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of the statements in the Preliminary Official Statement or the final Official Statement under the caption "UNDERWRITING."

The execution, delivery and performance of the Continuing Disclosure Agreement dated as of January 1, 2001 (the "Continuing Disclosure Agreement") between the University and the Trustee in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Continuing Disclosure Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series 2002 A Bonds, including any accrued interest, shall be allocated, deposited and applied as follows:

(i) To the Debt Service Fund, accrued interest received on the sale of the Series 2002 A Bonds;

(ii) To the Series 2001/2002 Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2002 A Bonds as specified in the Certificate of Award, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series 2002 A Bonds;

(iii) To the Redemption Account in the Note Program Fund, an amount sufficient to currently refund that portion of the Series C Commercial Paper Notes to be redeemed with the proceeds of the Series 2001 Bonds;

(iv) To the 2001 Escrow Account, the amount of the proceeds of the Series 2002 A Bonds as specified in the Certificate of Award for the purpose of advance refunding Advance Refunded Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF  
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Section 5. Series 2001/2002 Project Account. There is hereby created by the University an account within the Facilities Fund to be maintained in the custody of the Treasurer and designated the "Series 2001/2002 Project Account." The Series 2001/2002 Project Account shall be funded in part from the proceeds of the sale of the Series 2002 A Bonds. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series 2001/2002 Project, and costs and expenses associated with the issuance of the Series 2002 A Bonds. The Series 2001/2002 Project Account shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Series 2001/2002 Project Account, including all investment earnings thereon, pending disbursement from the Series 2001/2002 Project Account shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University attached hereto as Exhibit A, as the same may be amended from time to time. If the proceeds of the Series 2002 A Bonds remain unspent upon the issuance of any Obligations to fund costs of the Series 2001/2002 Project, the University shall establish separate accounts and subaccounts, for accounting purposes, for the deposit of the proceeds of such Obligations in accordance with the provisions of this Section 5.

The Treasurer shall maintain such books and records with respect to disbursements from the Series 2001/2002 Project Account so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Series 2001/2002 Project Account may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Debt Service Fund to be used to pay Debt Service Charges on the Series 2002 A Bonds.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series 2001/2002 Project (each a "Component") to be paid from the Series 2001/2002 Project Account have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Series 2001/2002 Project Account with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Monies remaining on deposit in the Series 2001/2002 Project Account after the completion of the Series 2001/2002 Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series 2002 A Bonds will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series 2002 A Bonds, so that the Series 2002 A Bonds will not constitute arbitrage bonds under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series 2002 A Bonds, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series 2002 A Bonds setting forth the reasonable expectations of the University regarding the amount and use of all those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series 2002 A Bonds.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series 2002 A Bonds, and will not take, nor permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series 2002 A Bonds; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series 2002 A Bonds.



**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS BONDS  
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Section 7. 2001 Escrow Account; Call for Redemption of Prior Obligations. There is hereby created in the Debt Service Fund, an account to be held in the custody of the Trustee to be denominated the 2001 Escrow Account. All moneys and investments held in the 2001 Escrow Account shall be held in trust for and shall be used solely for the payment of the principal of, premium, if any, and interest on the [Bonds to be advance refunded] as provided in the Fifth Supplement.

The University hereby determines that each of the [identify obligations to be advance refunded] shall be called for optional redemption at the earliest date on which each of them may be redeemed pursuant to the Prior Indenture and that the execution and delivery by the University of the 2002 A Supplement shall constitute the irrevocable direction to the Trustee to send such notices as are required by the Indenture to effect such call for redemption. The execution of the 2002 A Supplement and the acceptance of the estate conveyed thereby by the Trustee shall constitute the receipt by the Trustee of such direction and the agreement by the Trustee to give the aforementioned notices.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

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**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS BONDS  
SERIES 2001 OF THE OHIO STATE UNIVERSITY**

Resolution No. 2002-52

Synopsis: Providing for the authorization, issuance and sale of Variable Rate Demand General Receipts Bonds (the "Series 2001 Bonds"), in a principal amount determined as provided herein, for the purposes of (A) the acquisition, construction and installation of the Series 2001/02 Project, as defined herein; (B) currently refunding a portion of the outstanding Series C Commercial Paper Notes of the University; and (C) paying costs and expenses associated with the issuance of the Series 2001 Bonds.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS BONDS  
SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$80,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$100,500,000 is issued and outstanding as of the date hereof; and

WHEREAS on December 1, 2000, the Board adopted a resolution (the "Series C Commercial Paper Resolution") which authorized the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series C (the "Series C Notes ") in a principal amount not to exceed \$125,000,000 of which authorized amount \$81,000,000 is issued and outstanding as of the date of execution and delivery hereof; and

WHEREAS the University has adopted a Resolution of even date herewith (the "Series 2002 A Bond Resolution") authorizing the issuance of \$185,000,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), the execution, delivery and performance of a Supplemental Indenture dated as of December 1, 2001 (the "2002 A Supplement") between the University and the Trustee; and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001 (the "Series 2001 Bonds") for the purpose of paying or reimbursing a portion of the costs of the Series 2001/02 Project, currently refunding a portion of the outstanding Series C Commercial Paper Notes of the University and paying costs and expenses associated with the issuance of the Series 2001 Bonds; and

WHEREAS the University desires to make provisions for the issuance of the Series 2001 Bonds and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a 2001 Supplement to the Amended and Restated Trust Indenture (the "2002 A Supplement" together with the Amended and Restated Trust Indenture, as supplemented and all other indentures supplemental thereto, the "Indenture") herein authorized:

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Amended and Restated Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms used herein with initial capitalization where not required by the rules of grammar and not defined herein or in the Amended and Restated Indenture shall have the meanings given them in the 2002 A Supplement. Terms not appearing in the Amended and Restated Indenture but used herein and not previously defined herein are defined as follows:

**AUTHORIZATION, ISSUANCE AND SALE OF  
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SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

"Certificate of Award" means the Certificate of Award authorized pursuant to Section 3 of this Resolution.

"Code" means the Internal Revenue Code of 1986, as amended, the Treasury Regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a Section of the Code means that Section, including any applicable successor section or provision and such applicable Treasury Regulations, rulings, announcements, notices, procedures and determinations pertinent to that Section.

"Contract of Purchase" means the Contract of Purchase between the Original Purchasers and the University, authorized pursuant to Section 3 hereof.

"Official Statement" means, as to the Series 2001 Bonds, the Official Statement including, without limitation, the Preliminary Official Statement, relating to the original issuance of the Series 2001 Bonds, authorized pursuant to Section 3 hereof.

"Original Purchasers" means Fifth Third Securities, Inc., Apex Pryor Securities, Banc One Capital Markets, Inc., Dain Rauscher Inc., Loop Capital Markets and Morgan Stanley Dean Witter.

"Paying Agent" means the Paying Agent as defined in the 2002 A Supplement.

"Remarketing Agent" means Fifth Third Securities, Inc. or any other investment banking firm which may at any time be substituted in place of either of them as provided in Section 5.20 of the Indenture.

"Series 2001 Bond Resolution" or "this Resolution" as used herein, means this Resolution and the Certificate of Award, as the same may be amended from time to time.

"Series 2001/02 Project" means, collectively: (i) renovating and improving the (a) south high rise residence hall, including closet replacements, roof replacements, fire alarms system upgrades, elevator upgrades, and additional improvements, and (b) Houck House, including dining refurbishment; (ii) constructing and installing the Success Center; (iii) renovating Ohio Stadium; (iv) constructing Ohio Stadium scoreboard; (v) constructing a parking lot and road improvements on Fred Taylor Drive; (vi) renovating the north/south medical garages; (vii) installing the Ohio Micro Medical Device Laboratory; (viii) purchasing and renovating of an electric sub-station on Cannon Drive; (ix) constructing the Jesse Owens Memorial Stadium; (x) replacing the Sisson Hall Veterinary Building; (xi) installing of a central chilled water loop; and (xii) acquiring land and two buildings on Kenny Road; each of the foregoing items (i) through (xii) being a "Component" within the meaning of Section 5 hereof.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in this Resolution, the Amended and Restated Trust Indenture and the 2001 Supplement, except as otherwise herein permitted.

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SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Series 2001 Bonds shall be known as "The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001" and shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, this Resolution, the Amended and Restated Trust Indenture and the 2001 Supplement. The Series 2001 Bonds shall be issued for the purposes of financing a portion of the costs of the University Facilities comprising the Series 2001/02 Project, currently refunding the Prior Obligations and paying costs and expenses incidental to the issuance of the Series 2001 Bonds.

(b) Form and Numbering. The Series 2001 Bonds shall be issued only as fully registered bonds. The Series 2001 Bonds shall be numbered as determined by the Trustee which will distinguish each Series 2001 Bond from each other Series 2001 Bond.

(c) Denominations and Dates. The Series 2001 Bonds shall be issuable in denominations of \$100,000 and integral multiples thereof and shall be dated as of their date of first delivery and authentication.

(d) Principal Amount. The Series 2001 Bonds shall be issued in the principal amount specified in the Certificate of Award, which amount shall not exceed \$85,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Original Purchasers to establish the date, location, procedures and conditions for the delivery of the Series 2001 Bonds to the Original Purchasers and to take all steps as necessary to effect due execution, authentication, sale and delivery of the Series 2001 Bonds to the Original Purchasers under the terms of this Resolution. The Series 2001 Bonds shall be signed by the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest and Maturities.

(i) The Series 2001 Bonds shall bear interest at a variable rate in any number of modes determined pursuant to the 2001 Supplement. The University may also convert the interest rate on all or a portion of the Series 2001 Bonds to Fixed Rates. The interest rate on the Series 2001 Bonds shall at no time exceed the Maximum Interest Rate. Interest shall be payable on each Interest Payment Date.

(ii) The Series 2001 Bonds shall mature not later than December 1, 2031 and in such annual amount or amounts as are provided for in the Certificate of Award.

(g) Security. As provided in the Amended and Restated Trust Indenture as supplemented by the 2001 Supplement, there is hereby pledged to the security of the Series 2001 Bonds and for the payment of the Debt Service Charges on the Series 2001 Bonds, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the monies contained in the Special Funds. Anything else to the contrary in the Indenture or this Resolution notwithstanding, the Series 2001 Bonds shall not have access to, any claim upon or be secured by the Series 2001/02 Project Account.

The pledge of General Receipts in the immediately preceding paragraph shall be on a parity with expenses, claims and payments relating to other Parity Obligations and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all General

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Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University. Furthermore, the Pledge contained herein and the Amended and Restated Indenture is not in derogation of the pledge contained in the Original Indenture, the Prior Indenture, any Series Resolution adopted pursuant to the Original Resolution or any Bond proceedings with respect to any Obligations currently outstanding, it being the intention of the University that all such Obligations outstanding upon the execution and delivery of the Amended and Restated Trust Indenture shall be Parity Obligations within the meaning of the Amended and Restated Trust Indenture, equally and ratably secured by the pledge of General Receipts of the University herein and therein contained.

For the further security of the Series 2001 Bonds, and any other Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series 2001 Bonds or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other monies lawfully available therefor, to pay all costs and expenses required to be paid under the Bond proceedings, and (iii) together with other monies lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(h) Payment, Places of Payment and Paying Agents. The principal of and any redemption premium on any Series 2001 Bond when due shall be payable to the registered holder upon presentation and surrender thereof at the principal corporate trust office of the Trustee or any duly authorized Paying Agent. Interest on Series 2001 Bonds shall be payable by check or draft mailed by the Trustee as provided in the Indenture; provided, however, that interest on Series 2001 Bonds aggregating \$1,000,000 or more in principal amount registered in the name of a single holder shall be paid by wire transfer in immediately available funds to such account, if any, as such holder directs in writing to the Trustee at least ten (10) days prior to any Interest Payment Date, such payment by wire transfer pursuant to that direction continuing in effect as to subsequent Interest Payment Dates until such time as the holder notifies the Trustee to the contrary or until such time as such holder ceases to be a holder of the requisite principal amount of Series 2001 Bonds.

(i) Book Entry. Subject to the provisions of the immediately following paragraph, the Series 2001 Bonds shall be issued only to a Depository for holding in a Book Entry System. Those Series 2001 Bonds shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series 2001 Bonds shall be evidenced by a single certificate in the aggregate principal amount of the Series 2001 Bonds maturing on each such maturity date.

If any Depository determines not to continue to act as a Depository for the Series 2001 Bonds for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series 2001 Bonds from the Depository, and authenticate and deliver the Series 2001 Bond certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series 2001 Bonds) of the University. Series 2001 Bond certificates authenticated and delivered pursuant to this paragraph shall be in the denomination of \$5,000 or any integral multiple thereof.

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(j) Tender and Purchase. The Series 2001 Bonds will be subject to tender by the Holders thereof and also subject to purchase, including mandatory purchase, by the University in accordance with the terms of the 2002 A Supplement.

(k) Appointment of Remarketing Agent. The Board hereby appoints Fifth Third Securities, Inc. as Remarketing Agent.

Section 3. 2002 A Supplement; Contract of Purchase; Certificate of Award; Official Statement; Continuing Disclosure Agreement and Remarketing Agreements. To secure the pledge of General Receipts for the payment of Debt Service Charges on all Obligations, the execution, delivery and performance of the 2001 Supplement in substantially the form on file with the Secretary of the Board is hereby approved and authorized. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name and on behalf of the University such Amended and Restated Trust Indenture, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. Execution by the officer or officers of the University shall be conclusive evidence that any such changes are not substantially adverse to the University. The Treasurer of the University is directed and authorized to solicit, with such assistance from the Original Purchasers, the Trustee or others as he shall, in his sole reasonable discretion, deem necessary, the consent of the holders of a majority in principal amount of Obligations outstanding to the execution and delivery of the 2001 Supplement.

The Series 2001 Bonds are sold and awarded to the Original Purchasers in accordance with the Contract of Purchase at the purchase price provided in the Certificate of Award. The form of Contract of Purchase now on file with the Secretary of the Board is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are permitted by the Act and approved on behalf of the University by the Chairman or the Treasurer. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall be conclusively evidenced by the execution of the Contract of Purchase on behalf of the University by the Chairman or the Treasurer. It is determined hereby that the purchase price and the manner of sale and the terms of the Series 2001 Bonds, as provided in this Resolution and the Contract of Purchase are consistent with all legal requirements and will carry out the public purposes of the Act.

The sale and award of the Series 2001 Bonds shall be further evidenced by the Certificate of Award. The Certificate of Award is hereby authorized and shall be executed by the Chairman or the Treasurer. The Certificate of Award shall state or confirm the aggregate principal amount of the Series 2001 Bonds, the purchase price of such Bonds, the interest rate or rates with respect to each maturity of such Bonds, the annual principal amount or amounts of such Bonds becoming due, the maturity dates and the optional redemption provisions, all as determined in accordance with the provisions of this Resolution and the Contract of Purchase, and all of which shall be conclusively evidenced by the execution of the Certificate of Award. The Certificate of Award shall also contain such other dates or provisions as the Chairman or the Treasurer determines are appropriate or necessary, and as are consistent with this Resolution, the Indenture, the 2001 Supplement and the Contract of Purchase.

The use and distribution of the Preliminary Official Statement and the Official Statement relating to the Series 2001 Bonds by the Original Purchasers is hereby approved, authorized and ratified. The Preliminary Official Statement is deemed final by the University for purposes of Securities Exchange Commission Rule 15c2-12 except for such omissions therefrom as may be permitted by such Rule. The Official Statement, substantially in the form of the Preliminary Official Statement now on file with the Secretary of the Board, shall be executed by the Chairman and the Treasurer or either of them, each in his official capacity on behalf of the University with any modifications, changes and supplements necessary or desirable for the purposes thereof which

**AUTHORIZATION, ISSUANCE AND SALE OF  
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the Chairman or the Treasurer shall approve. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of the statements in the Preliminary Official Statement or the final Official Statement under the caption "UNDERWRITING."

The execution, delivery and performance of the Continuing Disclosure Agreement dated as of December 1, 2001 (the "Continuing Disclosure Agreement") between the University and the Trustee in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Continuing Disclosure Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

The execution, delivery and performance of the Remarketing Agreement dated as of December 1, 2001 (a "Remarketing Agreement") between the University and the Remarketing Agent in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Remarketing Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

The Chairman and the Treasurer, or either of them is each authorized to execute a remarketing agreement between the University and SBK-Brooks Investment Corp., as remarketing agent to provide for the remarketing of the Series 1999 B Bonds.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series 2001 Bonds, including any accrued interest, shall be allocated, deposited and applied as follows:

(i) To the Debt Service Fund, accrued interest received on the sale of the Series 2001 Bonds;

(ii) To the Redemption Account in the Note Program Fund, an amount sufficient to currently refund that portion of the Series C Commercial Paper Notes to be redeemed with the proceeds of the Series 2001 Bonds; and

(iii) To the Series 2001/02 Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2001 Bonds as specified in the Certificate of Award, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series 2001 Bonds.

Section 5. Series 2001/02 Project Account. The University has created, pursuant to the Series 2002 A Resolution, an account in the Facilities Fund to be maintained in the custody of the Treasurer and designated the "Series 2001/02 Project Account." The Series 2001/02 Project Account shall be funded in part from the proceeds of the sale of the Series 2001 Bonds. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series 2001/02 Project, and costs and expenses associated with the issuance of the Series 2001 Bonds. The Series 2001/02 Project Account shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Series 2001/02 Project Account, including all investment earnings thereon, pending disbursement from the Series 2001/02 Project Account shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University attached hereto as Exhibit A, as the same may be amended from time to time. If the proceeds of the Series 2001 Bonds remain unspent upon the issuance of any Obligations to fund costs of the Series 2001/02 Project, the University shall establish separate accounts and sub-

**AUTHORIZATION, ISSUANCE AND SALE OF  
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accounts, for accounting purposes, for the deposit of the proceeds of such Obligations in accordance with the provisions of this Section.

The Treasurer shall maintain such books and records with respect to disbursements from the Series 2001/02 Project Account so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Series 2001/02 Project Account may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Debt Service Fund to be used to pay Debt Service Charges on the Series 2001 Bonds.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series 2001/02 Project (each a "Component") to be paid from the Series 2001/02 Project Account have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Series 2001/02 Project Account with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Monies remaining on deposit in the Series 2001/02 Project Account after the completion of the Series 2001/02 Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series 2001 Bonds will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series 2001 Bonds, so that the Series 2001 Bonds will not constitute arbitrage bonds under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series 2001 Bonds, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series 2001 Bonds setting forth the reasonable expectations of the University regarding the amount and use of all those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series 2001 Bonds.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series 2001 Bonds, and will not take, nor permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series 2001 Bonds; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series 2001 Bonds.

Section 7. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 8. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

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**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
SERIES D OF THE OHIO STATE UNIVERSITY**

Resolution No. 2002-53

Synopsis: Providing for the authorization, issuance and sale of General Receipts Commercial Paper Notes, Series D (the "Series D Notes"), in a principal amount determined as provided herein, for the purpose of (i) financing the Series D Project, as defined herein, (ii) currently refunding certain outstanding Obligations and (iii) paying costs and expenses associated with the issuance of the Series D Notes, authorizing a Series D Supplement to Amended and Restated Trust Indenture to secure the payment of Debt Service Charges on the Series D Notes.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "auxiliary facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Indenture) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on November 5, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$80,900,000 is issued and outstanding as of the date hereof; and

**AUTHORIZATION, ISSUANCE AND SALE OF  
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WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$100,500,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on December 1, 2000 (the "Series C Commercial Paper Resolution"), the Indenture and the Third Supplement to Amended and Restated Trust Indenture, dated as of December 1, 2000 (the "Third Supplement") between the University and the Trustee, \$116,000,000 The Ohio State University Variable Rate Demand Commercial Paper Notes, Series C (the "Series C Notes") of which authorized amount \$81,000,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 2, 2001 (the "Series 2001 B General Receipts Bond Resolution"), the Indenture and the 2002 A Supplement to Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "2002 A Supplement") between the University and the Trustee, \$85,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2002 A (the "Series 2001 Bonds"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 2, 2001 (the "Series 2002 A General Receipts Bond Resolution"), the Indenture and the 2001 Supplement to Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "2001 Supplement") between the University and the Trustee, \$185,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series D (the "Series D Notes") in the principal amount not to exceed \$175,000,000 for the purpose of paying or reimbursing a portion of the costs of the Series D Project, currently refunding certain outstanding Obligations and paying costs and expenses associated with the issuance of the Series D Notes; and

WHEREAS the University desires to make provisions for the issuance of the Series D Notes and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a Series D Supplement to Amended and Restated Trust Indenture (the "Series D Supplement") herein authorized:

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Original Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Original Indenture but used herein and not previously defined herein are defined as follows:

"Book Entry System" means a system under which (i) a physical Series D Note certificate in fully registered form is issued for each maturity of Series D Notes only to a Depository or its

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nominee as registered owner, with the Series D Notes held by and immobilized in the custody of the Depository; and (ii) a book entry record, maintained by and the responsibility of the Depository and not maintained by or the responsibility of the University or the Trustee, is the record that identifies, and records the transfer of the interests of, the owners of book entry interests in such Series D Notes.

"Costs of Issuance Account" means the Costs of Issuance Account in the Note Program Fund created pursuant to Section 5 hereof.

"Dealer" or "Dealers" means J.P. Morgan Securities Inc. or any successor or assigns permitted under the Dealer Agreement, and any other dealer or dealers for the Series D Notes which is appointed by the University and has entered into a Dealer Agreement.

"Dealer Agreement" or "Dealer Agreements" means respectively, the Dealer Agreement, by and between the University and J.P. Morgan Securities Inc., and any and all modifications, alterations, amendments and supplements thereto, and such agreement and any other Dealer Agreement entered into by the University and a Dealer or Dealers with respect to the Series D Notes.

"Depository" means any securities depository that is a clearing agency under federal law operating and maintaining, with its participants or otherwise, a Book Entry System to record ownership of book entry interests in obligations, and includes and means initially as to the Series D Notes, The Depository Trust Company (a limited purpose trust company), New York, New York.

"Note Proceedings" means the 1999 General Bond Resolution, the Amended and Restated Indenture, any applicable Series Resolution, any applicable Supplemental Indenture and any other resolutions and agreements and amendments of and supplements to the foregoing, or any combination thereof, authorizing or providing for the terms and conditions applicable to, or providing for the security or sale of Notes, and the terms contained in such Notes.

"Note Program Fund" means the Note Program Fund held by the University and created in Section 5 hereof to be funded with the proceeds of the Series D Notes.

"Offering Memorandum" means, as to the Series D Notes, the Offering Memorandum relating to the original issuance of the Series D Notes, authorized pursuant to Section 3 hereof.

"Project Account" means the Project Account in the Note Program Fund created pursuant to Section 5 hereof.

"Series D Commercial Paper Resolution" or "this Resolution" as used herein means this Resolution, as the same may be amended from time to time.

"Series D Project" means, collectively: (i) reconstructing the Woody Hayes Drive Bridge and steam line extension; (ii) constructing of a new residence hotel facility for the Fisher College of Business; (iii) improving the leasehold to the J. Leonard Camera Center; (iv) acquiring real property; (v) constructing a 504-bed student housing complex between and Worthington and Neil Avenues; (vi) renovating The Ohio State University Hospitals East; (vii) constructing a new Student Services Building at Marion Campus; (viii) residence hall improvements including: south high rise closet replacements, roof replacements, fire alarms system upgrades, elevator upgrades, improvements and upgrades, Houck House renovation, and dining refurbishment; (ix) constructing the Ross Heart Hospital; (x) constructing and renovating the Buckeye Village Student Family Housing Complex; (xi) adding to and renovating Larkins Hall; (xii) renovating the Ohio Union; (xiii) construction and renovation at the Science and Technology Campus; and (xiv)

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constructing the Physical Facilities Garage and Shop Building; each of the foregoing (i) through (xiv), inclusive, constituting a "Component" within the meaning of Section 5 hereof.

"Trustee" means The Huntington National Bank, and any successor Trustee as determined or designated under or pursuant to the Original Indenture.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Series D Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Bonds, to be designated and known as The Ohio State University General Receipts Commercial Paper Notes, Series D, shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Series D Supplement for the purposes of financing a portion of the costs of the University Facilities comprising the Series D Project, currently refunding certain outstanding Obligations and paying costs and expenses incidental to the issuance of the Series D Notes. The Series D Notes shall be issued from time to time as provided in the Series D Supplement to finance and refinance the cost of the Series D Project. Proceeds of the Series D Notes may also be used to pay maturing Series D Notes.

(b) Form and Numbering. The Series D Notes shall be issued only as fully registered Bonds. The Series D Notes shall be numbered as determined by the Trustee.

(c) Denominations and Dates. The Notes shall be dated the date of their respective authentication and issuance; shall be issued in registered form, registered to bearer (subject to Section 2.06 of the Series D Supplement) unless otherwise designated by a Dealer; and shall be issued in denominations of \$100,000 and in integral multiples of \$1,000 in excess thereof.

(d) Principal Amount. The Series D Notes shall be issued in the principal amount specified in the Series D Supplement, which amount shall not exceed \$175,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Dealer to establish the date, location, procedures and conditions for the delivery of the Series D Notes to the Dealer and to take all steps as necessary to effect due execution, authentication and delivery of the Series D Notes to the Dealer or to the persons whom the Dealer directs under the terms of this Resolution. The Series D Notes shall be signed by the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

**AUTHORIZATION, ISSUANCE AND SALE OF  
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(f) Interest. The Series D Notes shall bear interest from their respective dates, payable at maturity, at a rate not to exceed 12% per annum (calculated on the basis of a year consisting of 365/366 days and actual number of days elapsed).

(g) Maturities. The Series D Notes (i) shall mature not more than 270 days after their respective dates, but in no event later than June 15, 2003, and (ii) shall mature on a Business Day. The stated interest rate, maturity date and other terms of each Note, so long as not inconsistent with the terms of the Series D Supplement, shall be as set forth in the Instructions delivered to the Trustee pursuant to Section 2.07 of the Series D Supplement.

(h) Redemption. The Series D Notes shall not be subject to redemption prior to their stated maturities.

(i) Appointment of Dealer. The Board hereby appoints J.P. Morgan Securities Inc. as the initial Dealer for the Series D Notes.

(j) Security. As provided in the Indenture, there is hereby pledged to the security of the Series D Notes, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.10 of the Amended and Restated Trust Indenture) and (ii) the moneys contained in the Special Funds. Anything else to the contrary in the Indenture, the 1999 General Bond Resolution, the Series D Supplement or this Resolution notwithstanding, the Series D Notes shall not have access to, any claim upon or be secured by, the Bond Reserve Fund or the Note Program Fund. This pledge of General Receipts shall be on parity with expenses, claims and payments relating to other Parity Obligations (as defined in the Original Indenture) and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all the General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University.

For the further security of the Series D Notes, and any Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series D Notes or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other moneys lawfully available therefor, to pay all costs and expenses required to be paid under the Note Proceedings, and (iii) together with other moneys lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(k) Payment, Places of Payment, and Paying Agents. The principal of and interest on the Series D Notes shall be paid in federal or other immediately available funds in such coin or currency of the United States of America as, at the respective times of payment, is legal tender for the payment of public and private debts. The principal of and interest on the Series D Notes shall be payable at the principal office of the Trustee on or before the close of business on any Business Day upon which such Series D Notes have become due and payable, provided that such Series D Notes are presented and surrendered on a timely basis. Upon presentation of such a Series D Note to the Trustee no later than 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment for such Series D Note shall be made by the Trustee in immediately available funds on such Business Day. If a Series D Note is presented for payment after 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment therefor shall be made by the Trustee on the next succeeding Business Day, without the accrual of additional interest thereon.

(l) Book Entry. Subject to the provisions of the immediately following paragraph, the Series D Notes shall be issued only to a Depository for holding in a Book Entry System in accordance with the provisions of Section 2.06 of the Series D Supplement. Those Series D Notes shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable,

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
SERIES D OF THE OHIO STATE UNIVERSITY (contd)**

except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series D Notes shall be evidenced by a single certificate in the aggregate principal amount of the Series D Notes maturing on such maturity date.

If any Depository determines not to continue to act as a Depository for the Series D Notes for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series D Notes from the Depository, and authenticate and deliver the Series D Note certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series D Notes) of the Bondholders.

Section 3. Offering Memorandum. It is determined hereby that the manner of sale and the terms of the Series D Notes, as provided in this Resolution and the Series D Supplement, are consistent with all legal requirements and will carry out the public purposes of the Act.

The use and distribution of the Offering Memorandum relating to the Series D Notes substantially in the form now on file with the Board by the Dealer is hereby approved and authorized. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of any statements in the Offering Memorandum contained in Appendix B thereto.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series D Notes, including any accrued interest, shall be allocated, deposited and applied as follows:

(i) To the Bond Fund, accrued interest, if any, received on the sale of the Series D Notes; and

(ii) To the Note Program Fund, created pursuant to Section 5 of this Resolution, the entire remaining amount of the proceeds of the Series C Notes, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series D Notes.

Section 5. Note Program Fund. There is hereby created by a fund to be maintained in the custody of the Treasurer and designated the "Note Program Fund." The Note Program Fund shall be funded from the proceeds of the sale of the Series D Notes. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series D Project and costs and expenses associated with the issuance of the Series D Notes. The Note Program Fund shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Note Program Fund, including all investment earnings thereon, pending disbursement from the Note Program Fund shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University, as the same may be amended from time to time. The University may establish such accounts in the Note Program Fund as are necessary or desirable to carry out the requirements of the Series D Supplement.

The Treasurer shall maintain such books and records with respect to disbursements from the Note Program Fund so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
SERIES D OF THE OHIO STATE UNIVERSITY (contd)**

the funds on deposit in the Note Program Fund may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Bond Fund to be used to pay Debt Service Charges on the Series D Notes.

There shall be established in the Note Program Fund the following accounts:

(i) The Costs of Issuance Account, from which shall be paid the costs of issuance of the Series D Notes;

(ii) The Redemption Account, from which shall be disbursed such portion of the proceeds as shall be necessary to retire such portion of the General Receipts Commercial Paper Notes, Series C and

(iii) The Project Account, from which shall be disbursed such portion of the proceeds of the Series D Notes necessary to pay costs of the Series D Project, currently refunding certain outstanding Obligations and paying costs and expenses associated with the issuance of the Series D Notes. The Treasurer shall designate in writing, either in the Series D Supplement or otherwise, the allocation of the proceeds of the Series D Notes to each of the foregoing accounts.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series D Project (each a "Component") to be paid from the Note Program Fund have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Note Program Fund with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Moneys remaining on deposit in the Note Program Fund after the completion of the Series D Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series D Notes will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series D Notes, so that the Series D Notes will not constitute "arbitrage bonds" under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series D Notes, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series D Notes setting forth the reasonable expectations of the University regarding the amount and use of those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series D Notes.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series D Notes and will not take or permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series D Notes; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series D Notes.

Section 7. Series D Supplement and Other Documents. In order to better secure the payment of the Debt Service Charges as the same shall become due and payable, the Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute, acknowledge and deliver to the Trustee and the Dealer, in the name and on behalf of the University and pursuant to the Indenture, the Series D Supplement and the Dealer Agreement, respectively, each in substantially the form submitted to this Board, or to such officers on behalf of this Board and the University, and such documents are

**AUTHORIZATION, ISSUANCE AND SALE OF  
GENERAL RECEIPTS COMMERCIAL PAPER NOTES  
SERIES D OF THE OHIO STATE UNIVERSITY (contd)**

hereby approved, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. The Series D Supplement shall also include the form of the Series D Notes (the "Form of Note"), attached thereto as Exhibit A. The execution of such documents by any of the officers shall conclusively evidence that the officers and the Board approve such changes and that such changes are not substantially adverse to the University.

Each of such officers is further authorized to execute and deliver on behalf of the University such other certificates, documents and instruments as are necessary in connection with the acts authorized by this Resolution.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

Upon motion of Mr. Slane, seconded by Judge Duncan, the Board of Trustees adopted the foregoing resolutions with six affirmative votes cast by Messrs. Brennan, Sofia, Slane, and Judge Duncan, and Mses. Longaberger and Hendricks, and an abstension cast by Mr. Patterson.

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**PRESENTATION ON BUDGET RESCISSION**

President Kirwan:

I'd like to ask Ed Ray and Bill Shkurti to discuss our final resolution on the Fiscal Year 2002 Budget Rescission.

Provost Edward J. Ray:

Thank you, Mr. President. The resolution that the Board has before it is intended to address the impact of the budget reduction announced by the state and its impact on The Ohio State University. Let me first ask Bill Shkurti to talk about the numbers and we'll then talk about some of the implications. Bill --

Mr. William J. Shkurti:

Thank you, Ed. The cuts announced by the Governor are a six percent rescission on our total annual appropriations for the current fiscal year. That amounts to \$28.2 million across all line items on all campuses. The single largest amount is \$19.4 million, which must be absorbed in the Columbus campus from its reduction in the state's share of instruction.

Other reductions affect the regional campuses, ATI, and OARDC to the tune of \$3.9 million; and other line items on the Columbus campus, such as the Success Challenge, the Research Challenge, and various health education support line items to the tune of \$4.9 million.

Our proposed solutions are really in two parts. It's very difficult for units to take reductions in the middle of the year, but that's what we're going to have to do. First of all, those line items or those entities that benefit from specific line items



**PRESENTATION ON BUDGET RESCISSION (contd)**

Mr. Shkurti: (contd)

will have to absorb those reductions; unfortunately, there's no other way to do that. And that will yield about \$8.8 million.

The reductions in the state's share of instruction will be harder to attribute to any one unit, but will affect all of the General Funds units on the Columbus campus. What we're proposing is to find one-time money this year, because we have to find this on a relatively short notice, and Ed will explain how we then plan to deal with that in the future.

In terms of finding the \$19.4 million for the current fiscal year, we're proposing to draw down half of the University's Rainy Day Fund of \$10 million, which will yield us \$5 million. We're proposing to find \$6 million in various central budgets where there are differences between what's been authorized and what may be spent. Central budgets include things like utilities, benefits, and so forth. It doesn't mean we're cutting benefits, but we're going to be looking at ways to come up with one-time money.

We're asking the support units – and the support units are really the vice presidential areas that include Business and Finance and Academic Affairs – to identify two percent of this year's General Fund budget for reallocation for this purpose, which is \$3.8 million. And we're asking the colleges to identify 1.25 percent of their annual General Funds budget, which will provide \$4.6 million. If you add all that up, that comes to \$19.4 million, which will meet the cut in the state's share of instruction, and if you add that to the \$8.8 million that comes out of line items, that will allow us to address the cuts imposed by the governor.

My colleague, Provost Ray, will now talk about the implications and some other issues involving this.

Provost Ray:

Thanks, Bill. In your Board books is the material that summarizes the implications and additional considerations that we all have to attend to, but I think some of the points bear repeating.

First, I think it's important that we make clear that throughout this process student financial aid distributions will be protected. We're very committed to making certain that students who have the capacity to succeed at Ohio State are not, in fact, restricted from doing so because of the financial difficulties that we find ourselves facing at this time.

As Bill pointed out, we want to make clear that these are one-time funds that we're talking about, and the call that we're making on different units are for these one-time funds. We need to have permanent reductions in place for Fiscal Year 2003. We've started a process -- the plan that the President described in his address to the Senate and that we distributed in writing to all the colleges and support units -- which addresses the 2003 problem, with respect to the rescission in state funds, but also addresses our compensation goals for the next year.

We might well expect that the areas the departments and support units get their cash from to meet the 2002 challenge, will not be the same as the areas that they would take funds from on a permanent basis once they've had time to plan properly. The reality is the meter's ticking – we're one-third through the current fiscal year and this is a reduction that has to be accommodated during this fiscal year.

**PRESENTATION ON BUDGET RESCISSION (contd)**

Provost Ray: (contd)

Again, one of the ways we've tried to soften the immediate impact of the reduction is to borrow from the Rainy Day Fund. We understand that sometimes rainy days come in multiples, and so one of the things we'll have to address over the next months is the time profile for replenishing the Rainy Day Fund.

We've said nothing about non-General Funds units at this time. As we get into dealing with the continuing reductions and budgets, we may have to call on those units to help us meet the overall financial demands on the institution. We'll be back at the December Board meeting to provide you with even more precise details on the ways in which we intend to meet the FY 2002 targets.

While one prefers not to think about such things, it's important that we remind ourselves that there is at least the possibility of additional reductions in state support as the current economic situation plays itself out. If that becomes a reality, we'll be back with additional corrective action for the Board to consider.

To try to get a metric on one measure of the implications of a \$28 million cut – if one looks at average compensation plus benefits for faculty and staff on a continuing basis – it could translate, in terms of positions at the University, to something on the order of 500 - 600 positions. One of the reasons why we're dealing first with cash reductions this year -- and we're working with the colleges and support units to have specific long-term plans in place for next year -- is that one of the things that we're committed to doing is obviously meeting the budget reduction demands that surely will require the elimination of positions. We intend to do everything we can by eliminating vacancies by the normal attrition that creates opening in positions, by moving people from positions that are less critical into critical areas where we do have positions. We will do what we can to keep any layoffs that could result from this process to a minimum.

Finally, it's worth pointing out that the goal that we have of identifying on the order of \$35-45 million to meet all of our objectives for the budget consistent with the goals of the Academic Plan for Fiscal Year 2003. Those numbers that the President talked about this morning and in his Senate speech are not the same numbers that we're talking about here. They're consistent, and they're consistent in the sense that today we're talking about how do we deal with the in-process reduction in \$28 million across the entire University in cash, and we're mentioning that we'll be back with plans for going forward. But again, those plans for going forward involve not only meeting the demands of this rescission, but also the compensation goals that we have been very clear about, to the President and others, in all of our statements to the University and the broader community. That is what comprises that larger figure.

That concludes our comments at this point. We'd be happy to respond to any questions or concerns.

Mr. Shkurti:

Let me say one other thing. We also discussed this quite at length in the Fiscal Affairs Committee, and there was an outcome of that discussion that I just wanted to relay to everybody. I think the Committee advised us, and we're strongly supportive of that in doing these cuts, that although it's not pleasant, it's necessary, but we don't want to do it in a mindless way. We simply aren't going to ask the people that are left to work harder doing the same things. Everybody should be working hard already and they are.

**PRESENTATION ON BUDGET RESCISSION (contd)**

Mr. Shkurti: (contd)

What we will need to look at is some things that -- although they've historically been important to do and we've done them a certain way -- can we do them a different way, can we do them more efficiently, and are there things we can stop doing that aren't as important to protect the things that are? So I think that will be one of the hallmarks of the way we go about this. As Ed mentioned, the next important element is the response from the vice presidents and the deans to the President's Senate speech. We will be reviewing their long term plans for meeting the goals of the Academic Plan within this constrained fiscal environment.

It was a very important discussion in Fiscal Affairs and I thought it was important to share that with the Board.

Mr. Brennan:

Thank you. Any questions?

Mr. Patterson:

This was discussed in detail in the Fiscal Affairs Committee.

Mr. Brennan:

Before voting, I would like to commend both of you and your staffs for responding so quickly to an unfortunate set of circumstances. For whatever comfort it may give you, it's my understanding similar meetings are taking place all over the state with our sister universities having similar difficulties, which we hope are of short term and short duration. Again, I thank you, for the speedy and excellent work that you've done to balance our books at this time.

**FY 2002 BUDGET RESCISSION**

Resolution No. 2002-54

Synopsis: FY 2002 budget reduction plan is being submitted for adoption.

WHEREAS the State of Ohio has cut funding to Higher Education in FY 2002 resulting in a \$19.4 million (6.0%) reduction in the Columbus Campus State Share of Instruction and a \$28.2 million overall reduction in all line items for all Campuses; and

WHEREAS the University is currently preparing a budget reallocation plan to identify sufficient revenues to provide competitive merit based compensation increases to faculty and staff over the next several years; and

WHEREAS the distribution of the State budget cuts needs to be coordinated with the University's ongoing efforts to achieve the goals of the Academic Plan including the current compensation initiative; and

WHEREAS following appropriate University-wide consultation, a two-step plan has been developed to absorb the budget reduction:

**NOW THEREFORE**

**BE IT RESOLVED**, That the \$19.4 million reduction in State Share of Instruction for FY 2002 be addressed by the reallocation of one-time funds as described in the attached materials; and

**FY 2002 BUDGET RESCISSION (contd)**

BE IT FURTHER RESOLVED, That specific State funding line items including Regional Campuses, Research Challenge and Success Challenge and other line items will be reduced in FY 2002 by 6%; and

BE IT FURTHER RESOLVED, That the Board will adjust the FY 2002 resources and expenditure budgets to reflect specific changes at the December meeting when the first quarter budget report is completed.

(See Appendix XVIII for background information, page 557.)

Upon motion of Mr. Patterson, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Sofia, Slane, and Judge Duncan, and Mses. Longaberger and Hendricks.

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**UNDERGRADUATE STUDENT GOVERNMENT REPORT**

Mr. Eddie Pauline:

Good morning. I appreciate the opportunity to come and speak before you about the Undergraduate Student Government. My name is Eddie Pauline and I'm president of USG.

Ms. Melissa Koch:

My name is Melissa Koch, vice president of USG, and a finance major from Shaker Heights, Ohio.

Mr. Pauline:

One thing that Melissa and I have been working on since we were inaugurated last May is revitalizing USG. Over the years, it's become an organization that has actually been disconnected from the students and not really representative, so we decided to change that for the better.

First, we began by doing some internal bonding and rearrangement. This summer at the USG retreat all the members got together and became a little bit closer. Once that was established, we started a massive outreach to the rest of the campus informing them about what USG does, what power we do have, how we can represent them. In my opinion, it has been very successful. Activities like constructing the first USG Homecoming float in 20 years is something to be proud of in these times.

The other great initiative that we've begun is trying to work with Student Affairs, and adjusting the students to be more of a community. We find that the biggest problem with students at Ohio State is that they don't feel like they're part of a strong community and we want to change that. So in order to build community, USG is looking at two different things: how students live and where they live.

Three areas that we identified were: the residence halls, the Greek community, and the 6off-campus area. With the residence halls, we identified some issues with them. We're encouraging the administration to expand residence halls as well as specifically remove the residence halls with four people living in one room on North Campus. Bill Hall and Steve Kremer are working desperately to do that, and we encourage everyone to try to help in any way they can in order to create more space on campus.

## **UNDERGRADUATE STUDENT GOVERNMENT REPORT (contd)**

Mr. Pauline: (contd)

We found that collaboration and teamwork with the administration has been our biggest asset this year. It's been much more productive for USG to work with everyone rather than against everyone and put up walls. So we've been able to accomplish a lot more.

The other area is with the Greek community. Melissa's been very active in working with the Greek community because she is Greek, but we are running into some roadblocks. We're very much in favor of the Greek community and advocating for them, but we do need to wait for the Greek community to help themselves if they want to truly succeed on this campus. We're with them, but they do need to help themselves in these times.

With the off-campus area – again, in collaboration with Student Affairs and, specifically, their off-campus strategies committee – we've been able to identify issues there. The Student and Educational Affairs Committee heard a report this morning from a couple of people that have been working very hard to make improvements.

One of our initiatives this year is developing a University District Plan, which we are excited about. In my opinion, this plan will be the Academic Plan of the off-campus area. It's going to identify all of the issues in the off-campus area, including organizations like Campus Partners, the community, and the people who live there. It will lay all the issues out and come up with strategies on how to most effectively deal with them in the long-term. So we're excited about that.

Some of the other initiatives include how we relate to each other, as far as an organization. We're trying to stress the way that we're being represented to the students. We've developed a committee called the Underrepresented Constituency Committee, which has strong relations with minorities on campus. There are 16 student liaisons to interact with international students, which we're very proud of. We think that it really encapsulates what the Diversity Action Plan is trying to do in representing everyone equally on campus.

The other big initiative that we started is an attempt to truly be representative of the student body. This has been a tough challenge because not all of the students agree with the opinions that we have. So we're identifying issues that we think are important in students' lives and broadcasting those more than we have before. In our opinion, we feel that if we can pick issues that affect people that may be biased in some ways, it's better than remaining unbiased and not representing anyone -- if that makes sense and we hope it does. We think we can be more effective in order for students to feel like they can connect to us in some ways. So if not everyone's happy at one time, then maybe the next time we make an announcement supporting something the other half will be happy. That's our plan.

Again, our University relations have been stronger than ever. We've been able to help reestablish the Ohio Union as a college union – again, we're working with Student Affairs to secure money for short-term and long-term renovations to that facility. We've been able to team up with Recreational Sports in order to build a Frisbee golf course, which will be built in this area by the Fawcett Center. Even in these times of severe budget constraints, we're still able to establish things that will be fun for everybody.

We've also been able to work with the Registrar's office and the CIO in order to extend the Student Services' web page to 24-hours a day. This way students can access the site at all times, instead of it shutting off at 10:00 p.m. We're also

**UNDERGRADUATE STUDENT GOVERNMENT REPORT (contd)**

Mr. Pauline: (contd)

working with Dr. Blackwell to reform the GECs, which is another big initiative that we have going.

Again, we are definitely on the upswing as a representative body for the students. We've established relationships with many of the administration in this room and many more. So we look forward to seeing what else we can accomplish this year before our term is up. Thanks.

Mr. Brennan:

Any questions? I am struck, Eddie, by the similarity between your challenge to please at least half the students and how similar that is to President Kirwan's challenge in this thing.

President Kirwan:

We work well together.

Mr. Pauline:

I think we do. On the back page of the handout, we have a picture of President Kirwan and I at the Underground Radio interview. Once in awhile we debate or talk about issues. I'm very proud of the relationship we've established and I commend the administration for taking our opinions seriously and involving us at the table for all decisions.

Mr. Brennan:

We appreciate your dedication and what you're doing. Thank you, Eddie and Melissa.

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Thereupon the Board adjourned to meet Thursday, December 6, 2001 at The Ohio State University Fisher College of Business, Columbus, Ohio.

Attest:

William J. Napier  
Secretary

David L. Brennan  
Chairman

**Waivers of Competitive Bidding Requirements  
July-September 2001**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals Merchandise for Resale	\$70,000	\$70,000	\$0	\$0
Hospitals - Professional Health Care Services	\$5,249,844	\$140,000	\$250,000	\$4,859,844
Hospitals - Equipment and Services	\$4,564,289	\$3,057,977	\$1,281,312	\$225,000
Instructional and Research Equipment and Services	\$6,149,131	\$1,096,839	\$107,944	\$4,944,348
Administrative Support Equipment and Services	\$2,193,318	\$1,469,638	\$389,296	\$334,384
Conference Facilities	\$86,879	\$0	\$0	\$86,879
<b>TOTAL</b>	<b>\$18,313,461</b>	<b>\$5,834,454</b>	<b>\$2,028,552</b>	<b>\$10,450,455</b>

(APPENDIX XV)

**Physical Sciences Research Building****315-1999-195****PROJECT CAPTAIN:**

Barbara A. Koelbl, Facilities Planning &amp; Development - Architecture, 292-1521

**REQUESTING AGENCY:**

College of Math and Physical Sciences

**PROJECT LOCATION:**

0070-PHYSICAL SCIENCES RESEARCH FACILITY

**AGE:**

2001

**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Provide new or renovated facilities for the Department of Physics

**MILESTONES:**

	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>
Arch/Eng Approved B/T	11/1/1996		11/1/1996
Bidding Approved B/T	11/1/1998	2/5/1999	2/5/1999
Arch/Engr Contract			5/15/1998
Schematic Design Approval	1/1/1998	6/1/2000	9/27/2000
Design Development Approval	6/1/1998	3/15/2001	3/16/2001
Construction Document Approval	1/1/1999	12/7/2001	
Bid Opening	3/1/1999	1/24/2002	
Award of Contracts	5/15/1999	4/25/2002	
Construction Start	6/1/1999	5/6/2002	
Report of Award to B/T	6/1/1999	6/7/2002	
Completion	6/1/2001	5/6/2004	

**FUNDING INFORMATION:**

Centrally Funded Capital Projects	\$ -	\$ 1,940,615	\$ -
Future Capital Appropriations	26,000,000	0	
HB640 Line Item Appropriation	0	26,000,000	
HB748 Columbus Line Items	4,000,000	4,000,000	
HB850 Line Item Appropriation	20,000,000	20,000,000	
HB870 Columbus Line Item	0	0	
Repair & Renovation Fiscal Yr 01-02	0	23,900	
<b>Total</b>	<b>\$ 50,000,000</b>	<b>\$ 51,964,515</b>	<b>\$ -</b>

**USES:**

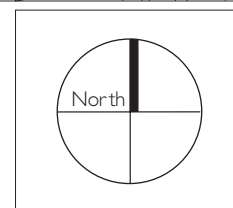
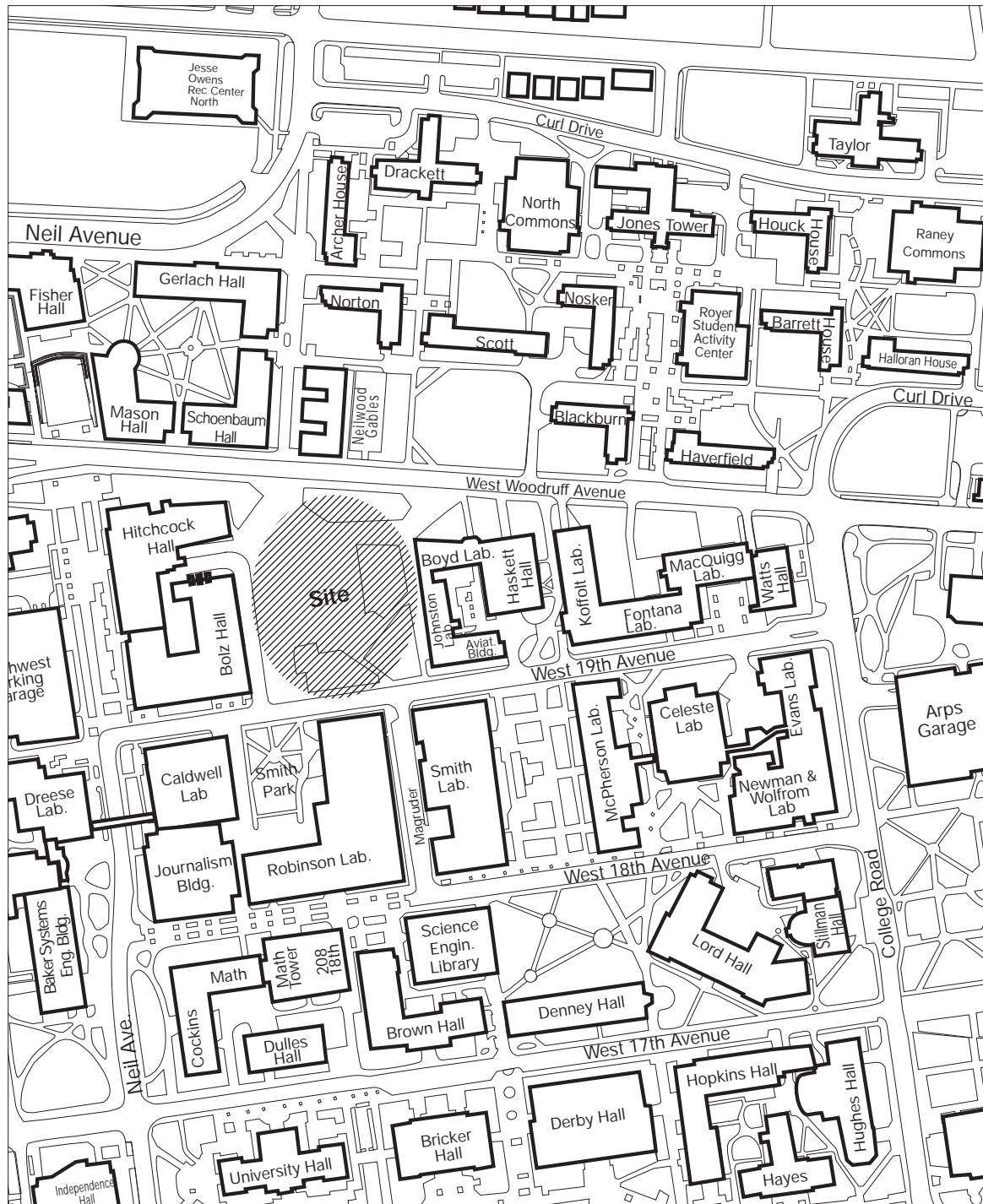
% of Art	\$ 460,000	\$ 460,000	
Asbestos	\$ 150,000	\$ 29,500	
Constr. Mgr.	\$ -	\$ 1,000,000	
Construction	40,890,000	40,852,500	
Contingency	2,178,000	2,284,744	
Demolition	0	158,000	
Design	3,967,850	4,702,765	
Equipment	1,842,400	1,960,000	
State Architect	511,750	157,080	
Univ. Administration	0	359,926	
<b>Total</b>	<b>\$ 50,000,000</b>	<b>\$ 51,964,515</b>	<b>\$ -</b>

**COMMENTS:**

Academic siting study completed. Site selected is Welding Engineering site. The Welding Engineering Building has been demolished.



# Physical Sciences Research Building



Office of Business and Finance  
Office of Facilities Planning and Development

December 9, 2000

**Marion Campus - Student Housing**

**315-2001-926**

**PROJECT CAPTAIN:**

Craig C. Henry, Facilities Planning & Development - Architecture, 292-2156

**REQUESTING AGENCY:**

Student Affairs

**PROJECT LOCATION:**

V0010-Site - See Comments

**AGE:**

**GR. SQ. FT.:**

**PROJECT DESCRIPTION:**

Design and construct a facility to provide on-campus student housing which is proposed to include 4-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking, and laundry on the Marion Campus.

**MILESTONES:**

	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>
Arch/Eng Approved B/T	11/2/2001		
Bidding Approved B/T	9/6/2002		
Arch/Engr Contract	2/28/2002		
Schematic Design Approval	7/31/2002		
Design Development Approval	11/29/2002		
Construction Document Approval	3/31/2003		
Bid Opening	5/15/2003		
Award of Contracts	6/23/2003		
Construction Start	6/30/2003		
Report of Award to B/T	7/1/2003		
Completion	7/30/2004		

**FUNDING INFORMATION:**

Future Univ. Bond Issues	\$	7,045,753	\$	-	\$	-
Total	\$	7,045,753	\$	-	\$	-

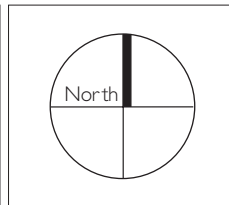
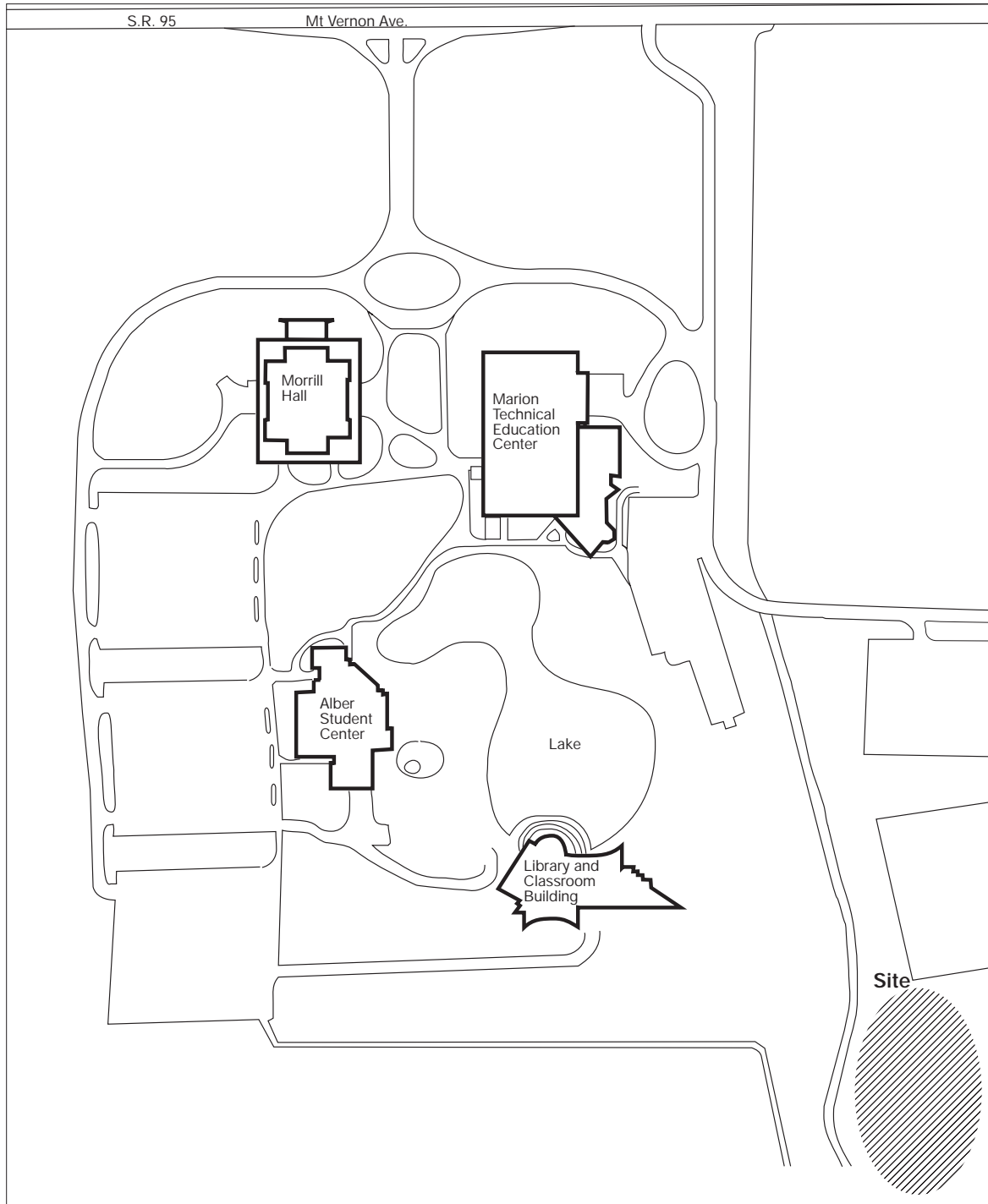
**USES:**

Bond Financing	\$	315,011	\$	-		
Constr. Mgr.		262,509				
Construction		5,250,190				
Contingency		262,509				
Design		367,513				
Equipment		525,019				
Univ. Administration		63,002				
Total	\$	7,045,753	\$	-	\$	-

**COMMENTS:**

Authorization to employ a construction manager requested at the November 2, 2001 Board of Trustees meeting. Site to be east of the drainage creek and south of the existing parking lots.

# Marion Student Housing



Office of Business and Finance  
Office of the University Architect and Physical Planning

October 12, 2001

**Newark Campus - Student Housing**

**315-2001-925**

**PROJECT CAPTAIN:**

Craig C. Henry, Facilities Planning & Development - Architecture, 292-2156

**REQUESTING AGENCY:**

Student Affairs

**PROJECT LOCATION:**

V0010-Site - See Comments

**AGE:**

**GR. SQ. FT.:**

**PROJECT DESCRIPTION:**

Design and construct a facility to provide on-campus housing which is proposed to include 4-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking and laundry.

**MILESTONES:**

	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>
Arch/Eng Approved B/T	11/2/2001		
Constr Mgr Approved B/T	11/2/2001		
Bidding Approved B/T	9/6/2002		
Arch/Engr Contract	2/28/2002		
Schematic Design Approval	7/31/2002		
Design Development Approval	11/29/2002		
Construction Document Approval	3/31/2003		
Bid Opening	5/15/2003		
Award of Contracts	6/23/2003		
Construction Start	6/30/2003		
Report of Award to B/T	7/11/2003		
Completion	7/30/2003		

**FUNDING INFORMATION:**

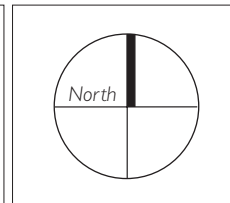
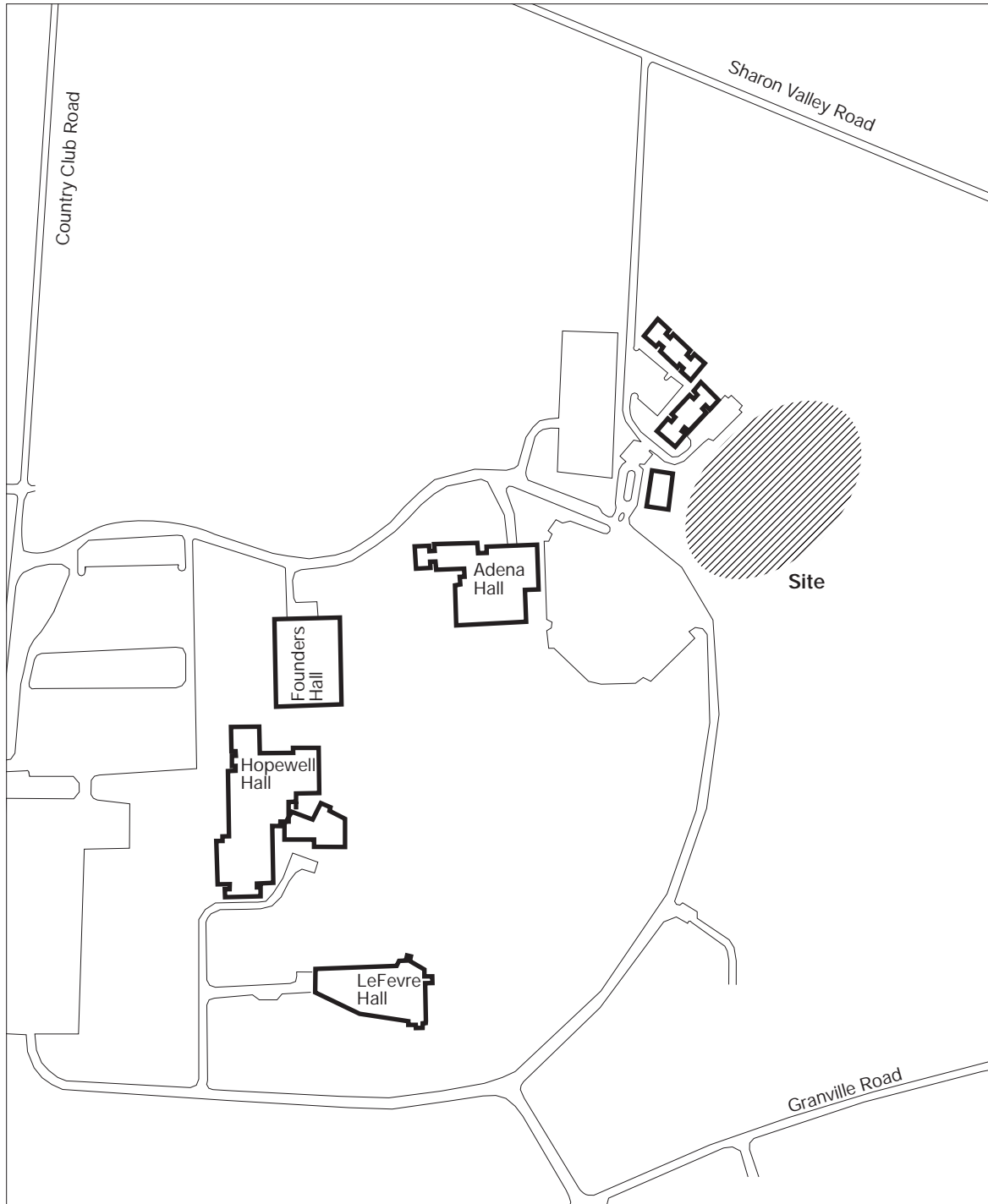
Future Univ. Bond Issues	\$	9,915,473	\$	-	\$	-
Total	\$	9,915,473	\$	-	\$	-

**USES:**

Bond Financing	\$	443,315	\$	-		
Constr Mgr		369,429				
Construction		7,388,578				
Contingency		369,429				
Design		517,201				
Equipment		738,858				
University Administration		88,663				
Total	\$	9,915,473	\$	-	\$	-

**COMMENTS:**

# Newark Student Housing



Office of Business and Finance  
Office of Facilities Planning and Development

October 12, 2001

**PROJECT CAPTAIN:**

Charles E. Hamilton, Facilities Planning &amp; Development - Architecture, 292-0997

**REQUESTING AGENCY:**

Newark Campus and Central Ohio Technical College

**PROJECT LOCATION:**

V0010-Site - See Comments.

AGE:

GR. SQ. FT:

0

**PROJECT DESCRIPTION:**

Construct an instructional technology center to support the academic mission, provide student gathering/activity spaces, and serve as a conference center for the community.

**MILESTONES:**

	Projected	Revised	Actual
Arch/Eng Approved B/T	10/1/1999		10/1/1999
Bidding Approved B/T	12/3/2000	11/2/2001	
Arch/Engr Contract	1/1/2000		6/20/2000
Schematic Design Approval	6/1/2000	1/31/2001	1/31/2001
Design Development Approval	9/1/2000	5/31/2001	
Construction Document Approval	3/1/2001	11/15/2001	
Bid Opening	4/1/2001	12/15/2001	
Award of Contracts	6/15/2001	3/15/2002	
Construction Start	7/1/2001	3/15/2002	
Report of Award to B/T	6/1/2001	4/1/2002	
Completion	12/31/2002	7/15/2003	

**FUNDING INFORMATION:**

Central Ohio Technical College	\$ 2,266,530	\$	\$
Development-Newark	7,623,000		
Future Capital Appropriations	3,445,050		
Total	\$ 13,334,580	\$	\$

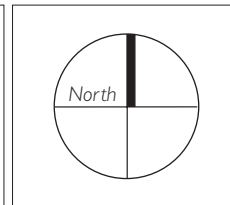
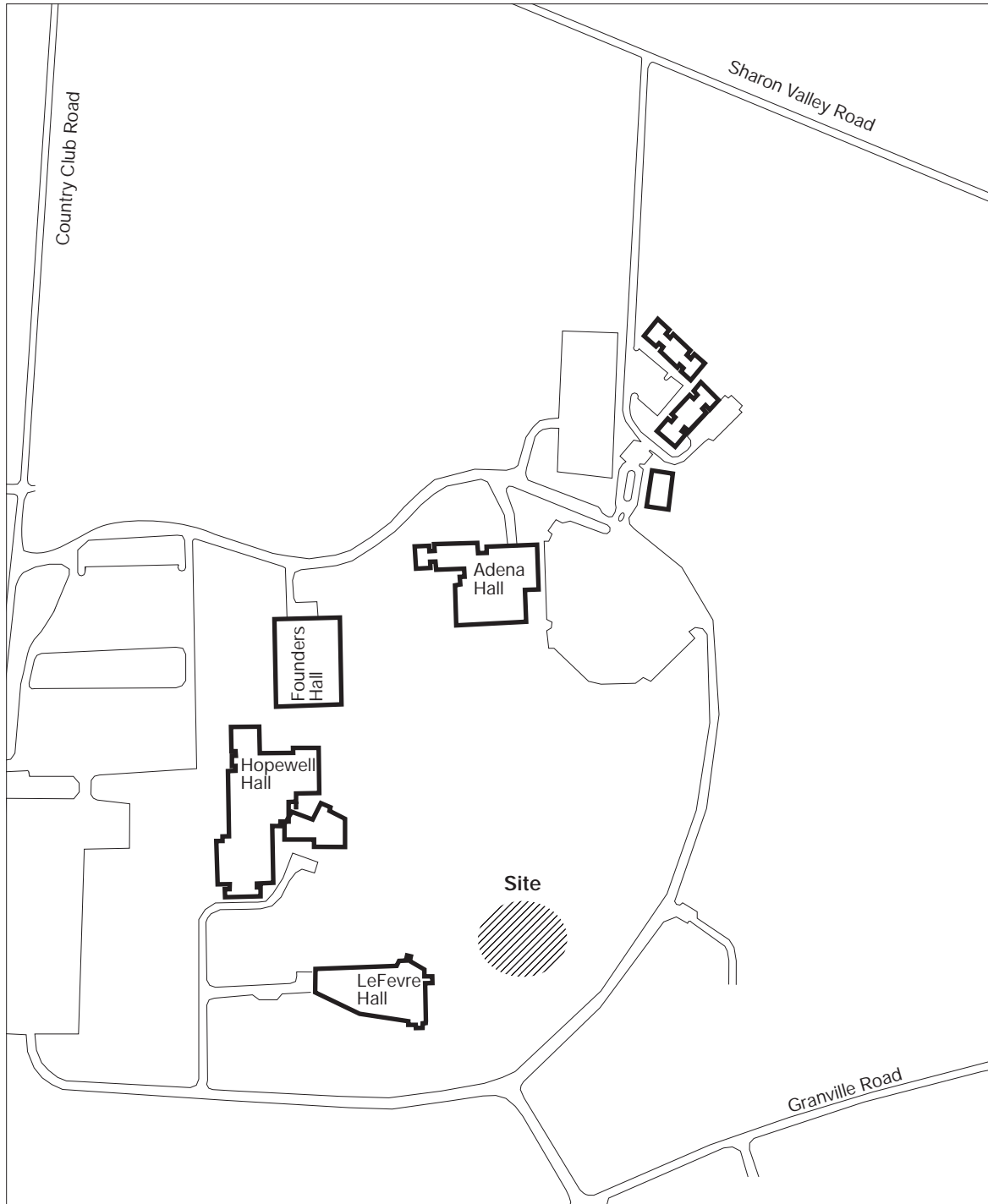
**USES:**

Construction	\$ 11,234,441	\$	\$
Contingency	561,722		
Design	986,741		
Equipment	500,000		
Univ. Administr	51,676		
Total	\$ 13,334,580	\$	\$

**COMMENTS:**

Site anticipated to be east of LeFevre Hall.

# Newark Campus - Reese Center



Office of Business and Finance  
Office of Facilities Planning and Development

October 10, 2001

## PROJECT CAPTAIN:

Charles E. Hamilton, Facilities Planning &amp; Development - Architecture, 292-0997

## REQUESTING AGENCY:

Social and Behavioral Sciences

## PROJECT LOCATION:

V0010-Site - See Comments.

AGE:

GR. SQ. FT:

## PROJECT DESCRIPTION:

Construct a new facility for the Department of Psychology.

## MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	10/1/2001	11/1/2001	
Bidding Approved B/T	9/1/2002		
Arch/Engr Contract	4/15/2002	5/15/2002	
Schematic Design Approval	2/1/2003	3/1/2003	
Design Development Approval	5/15/2003	6/15/2003	
Construction Document Approval	12/15/2003	1/15/2004	
Bid Opening	1/15/2004	2/15/2004	
Award of Contracts	3/15/2004	4/15/2004	
Construction Start	3/15/2004	4/15/2004	
Report of Award to B/T	4/1/2004	5/1/2004	
Completion	1/15/2006	2/15/2006	

## FUNDING INFORMATION:

Future Capital Appropriations	\$ 32,000,000	\$	\$
HB640 Line Item Appropriation	3,000,000		
Total	\$ 35,000,000	\$	\$

## USES:

% of Art	\$ 346,593	\$	\$
Constr. Manager	1,750,282		
Construction	25,004,033		
Contingency	2,798,270		
Design	2,250,363		
Equipment	2,500,403		
State Architect	50,000		
Univ. Administration	300,056		
Total	\$ 35,000,000	\$	\$

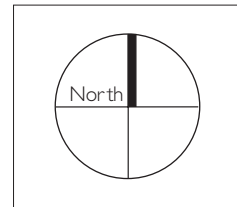
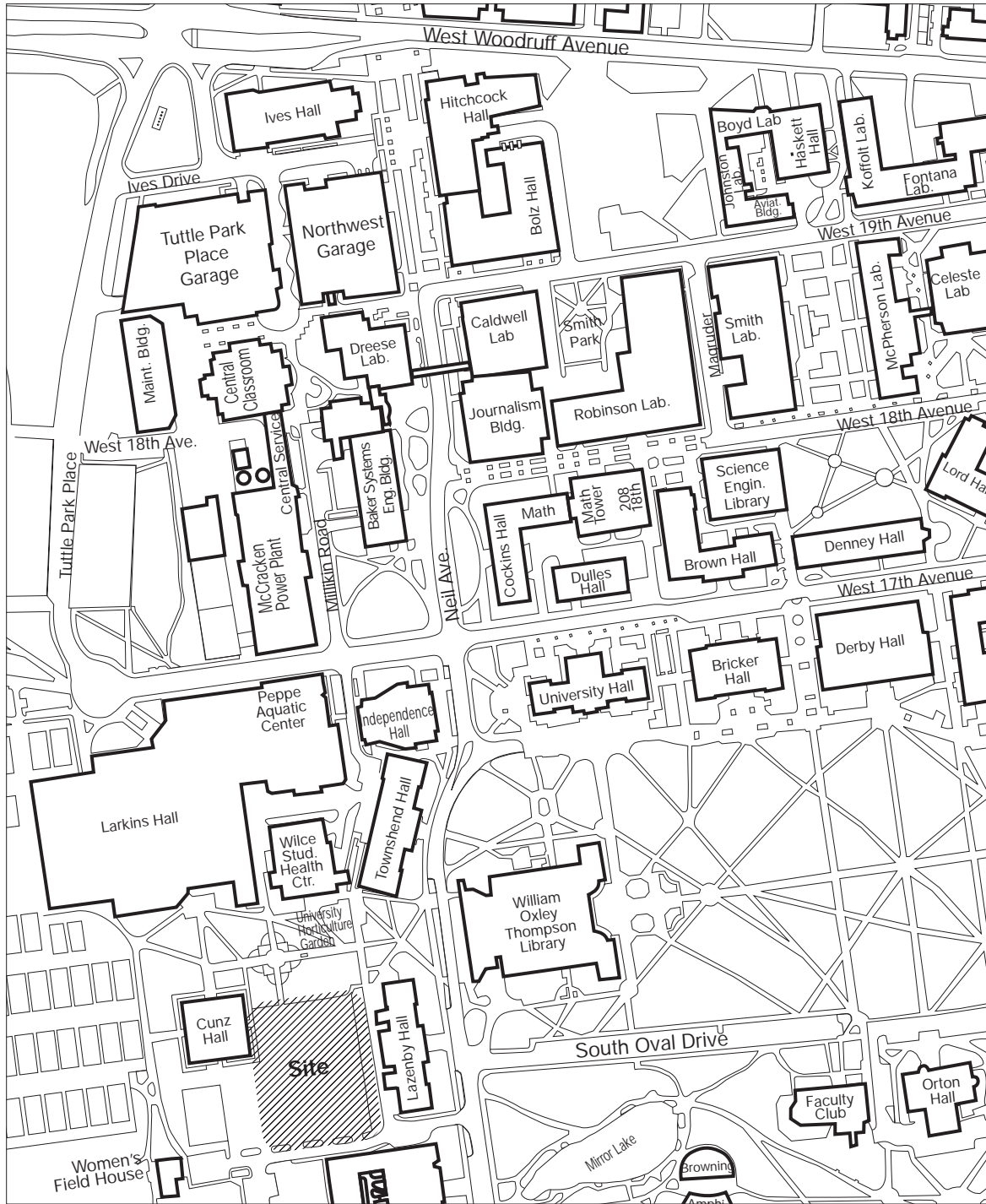
## COMMENTS:

Site to be east of Cunz and west of Lazenby.

Local administration of this project granted by the Ohio Board of Regents and the Department of Administrative Services on August 29, 2000.



# Psychology Building



Office of Business and Finance  
Office of Facilities Planning and Development

December 9, 2000

**Ohio Union Renovation and Addition**

**315-2001-931**

**PROJECT CAPTAIN:**

Marjory Trishman Spangler, Facilities Planning & Development - Architecture, 292-5704

**REQUESTING AGENCY:**

Student Affairs

**PROJECT LOCATION:**

0058-OHIO UNION

**AGE:**

1951

**GR. SQ. FT.:**

222,740

**PROJECT DESCRIPTION:**

Construction and addition of approximately 35,000 square feet including asbestos abatement, upgrade or replacement of plumbing, electrical and HVAC systems, fire alarm system and sprinkler upgrades, and ADA compliance.

**MILESTONES:**

	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>
Arch/Eng Approved B/T	11/2/2001		
Constr Mgr Approved B/T	11/2/2001		
Bidding Approved B/T	7/1/2003		
Schematic Design Approval	7/1/2002		
Design Development Approval	12/1/2002		
Construction Document Approval	7/2/2003		
Bid Opening	8/15/2003		
Award of Contracts	10/15/2003		
Construction Start	10/30/2003		
Report of Award to B/T	11/1/2003		
Completion	1/30/2005		

**FUNDING INFORMATION:**

Central Funding	\$ 10,000,000	\$ -	\$ -
Future Univ. Bond Issues	17,200,000		
HB850 Columbus Basic Renovation	750,000		
Auxiliaries-Student Affairs	13,800,000		
Auxiliaries-Unions	1,000,000		
General Funds-Student Affairs	7,500,000		
<b>Total</b>	<b>\$ 50,250,000</b>	<b>\$ -</b>	<b>\$ -</b>

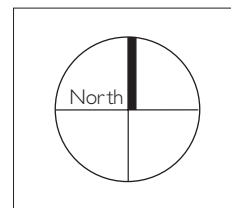
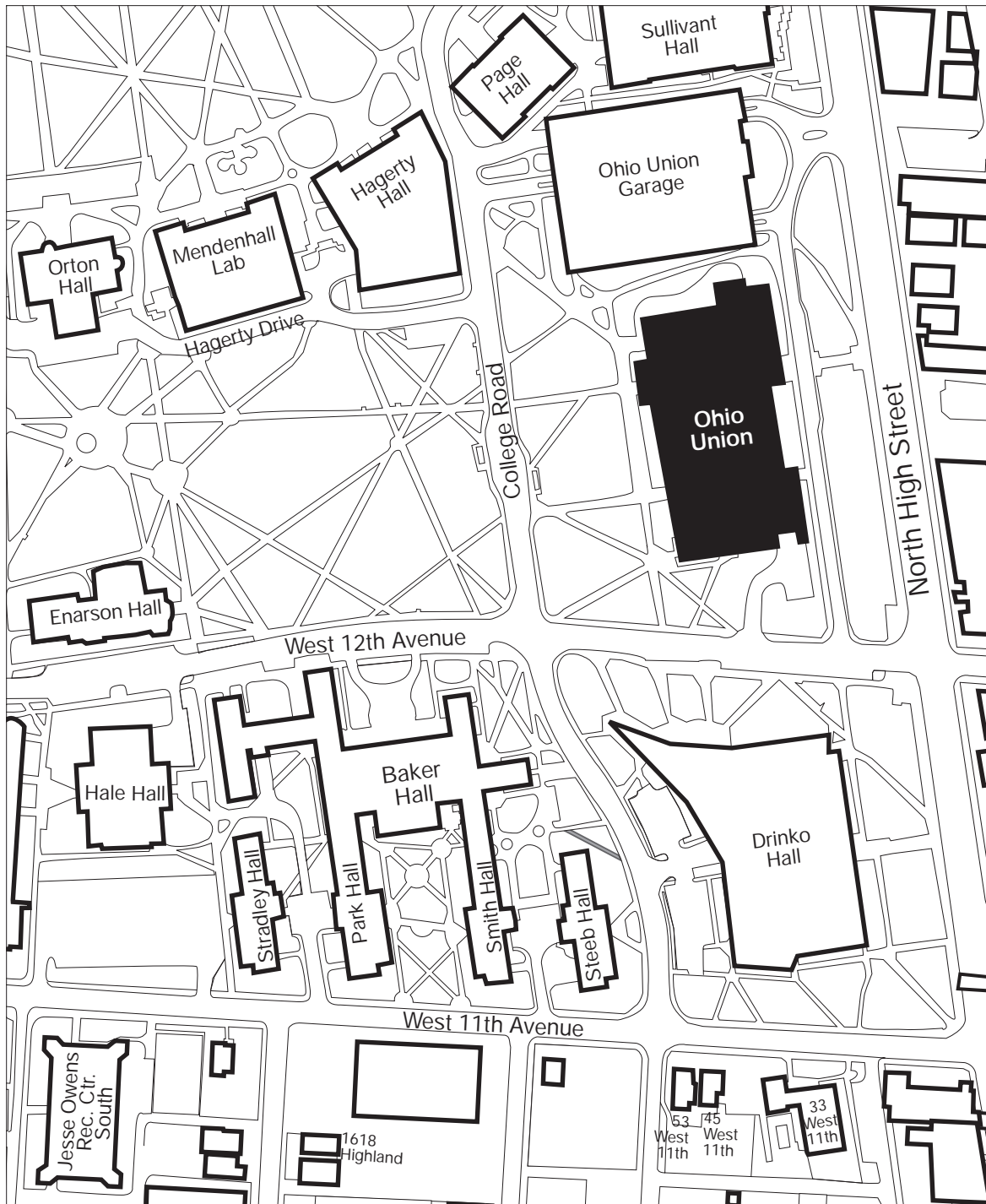
**USES:**

Bond Financing	\$ 1,474,200	\$ -	
Constr. Manager	2,471,685		
Construction	35,309,782		
Contingency	3,791,138		
Design	3,177,880		
Equipment	3,530,978		
APO Administration	494,337		
<b>Total</b>	<b>\$ 50,250,000</b>	<b>\$ -</b>	<b>\$ -</b>

**COMMENTS:**

Original project estimated at \$62,000,000 as noted in the 1994 Annual Report was for the complete renovation and addition to the existing facility. A referendum to fund this was rejected by the students. The project is now a renovation and addition to the existing facility at an anticipated project cost of \$50,250,000.

# Ohio Union Renovation and Addition



Office of Business and Finance  
Office of Facilities Planning and Development

October 12, 2001

**Automated Transport System for Univ Med Ctr-Ph I**

**315-2000-900**

**PROJECT CAPTAIN:**

Charles E. Hamilton Facilities Planning & Development - Architecture, 292-0997

**REQUESTING AGENCY:**

University Hospitals

**PROJECT LOCATION:**

V0001-Various Locations-Columbus

**AGE:**

**GR. SQ. FT.:**

**PROJECT DESCRIPTION:**

Renovations associated with the replacement of an automated material handling system.

<b>MILESTONES:</b>	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>
Arch/Eng Approved B/T	12/3/1999		12/3/1999
Bidding Approved B/T	12/3/1999		12/3/1999
Arch/Engr Contract	3/14/2001		3/19/2001
Construction Document Approval	7/1/2000	10/10/2001	
Bid Opening	8/1/2000	12/11/2001	
Construction Start	9/1/2000	1/22/2002	
Report of Award to B/T	12/1/2000	2/1/2002	
Completion	9/1/2002	1/1/2003	

**FUNDING INFORMATION:**

Auxiliaries-University Hospitals	\$ 950,000	\$ 4,187,175	\$ -
Total	\$ 950,000	\$ 4,187,175	\$ -

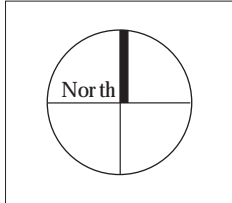
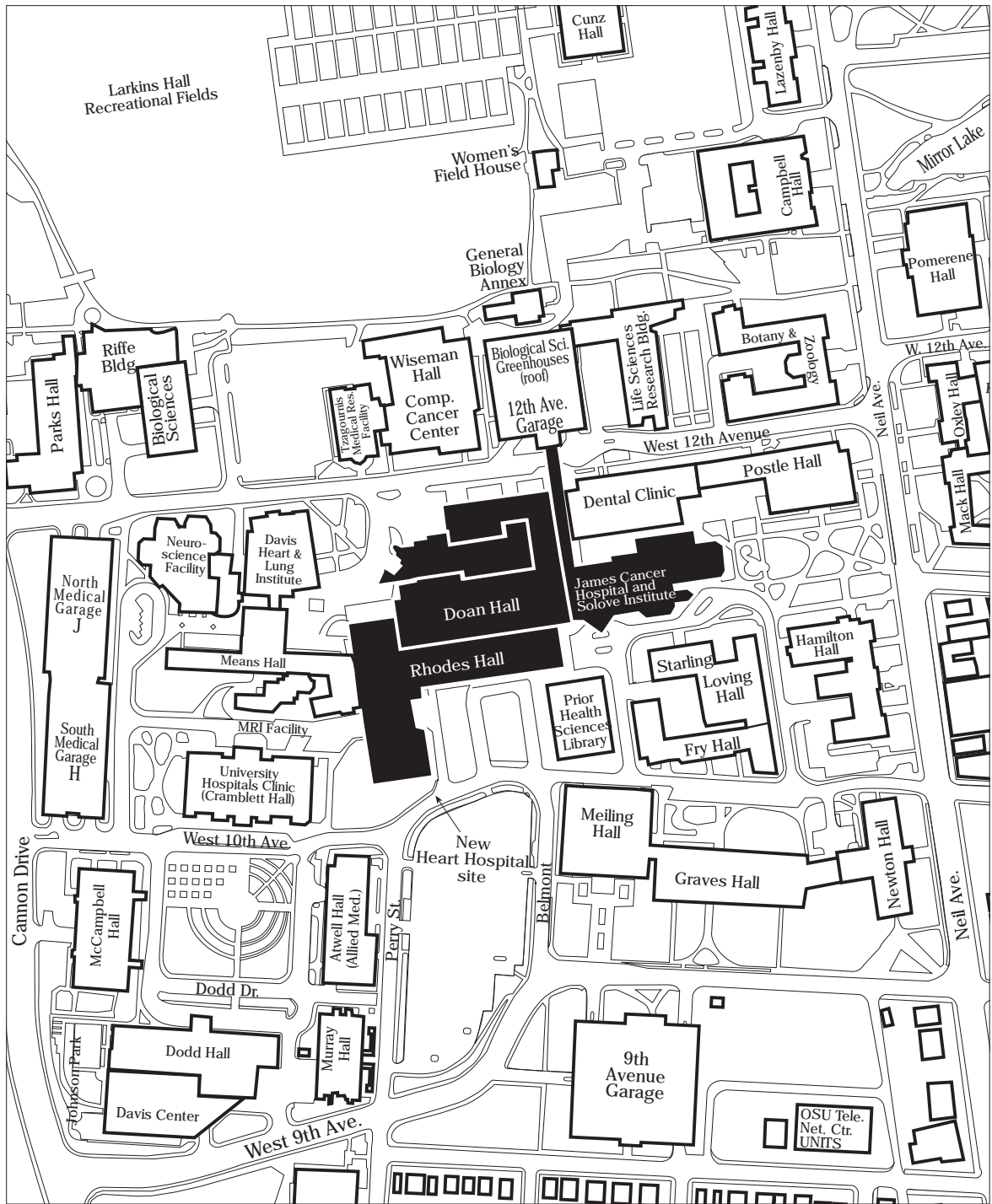
**USES:**

Asbestos	\$ 50,000	\$ 50,000	
Construction	750,000	3,227,786	
Contingency	53,750	327,779	
Design	85,000	542,277	
Univ. Administration	11,250	39,333	
Total	\$ 950,000	\$ 4,187,175	\$ -

**COMMENTS:**

Increased project budget and scope presented to the Board of Trustees for approval on 6/29/01. Extension of Automated Guided Vehicle System into additional spaces in James and Doan Halls, requiring renovation of additional space in elevator lobbies and extension of new service required for Doan 1st, 2nd, and 3rd floors. Additional services of the Associate Architect to include full-time construction administration. Increased construction administrative services of AGVS equipment consultant. Life safety modifications requested by State Fire Marshall's review. Inclusion of AGVS contract of the demolition of the Dish Processing area in Rhodes Hall basement and the relocation and renovation of the 1st floor of Rhodes Hall for the new Dish Processing area. Results of testing indicated additional asbestos abatement requirements and the replacement of flooring in Doan/Rhodes and The James Cancer hospitals.

# Automated Transport System for University Medical Center Phase I



Office of Business and Finance  
Office of Facilities Planning and Development

October 10, 2001

**Union County Large Animal Clinic Facility**

**315-2001-906**

**PROJECT CAPTAIN:**

John C. Frazier, Facilities Planning & Development - Architecture, 292-0958

**REQUESTING AGENCY:**

College of Veterinary Medicine

**PROJECT LOCATION:**

V0010-Site - See Comments

**AGE:**

**GR. SQ. FT.:**

**PROJECT DESCRIPTION:**

Create a new Large Animal Clinic that will replace the existing clinic on Milford Road in Marysville. The new clinic will provide large animal surgical and support space; administrative office, doctor's office, and office support space; graduate student living and sleeping space, all of which will be assigned to the College of Veterinary Medicine for its Marysville Large Animal Clinic program. Adequate non-assignable space shall be provided for a public restroom and custodial functions as well as a mechanical room to house the furnace, hot water tank, radio control, phone control, and electrical panel functions. Additional parking spaces will also be provided. Sufficient outdoor space will be provided as a part of the project as there are special needs associated with loading and unloading large animals on the site.

**MILESTONES:**

	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>
Arch/Eng Approved B/T	11/2/2001		
Bidding Approved B/T	11/2/2001		
Bid Opening	6/1/2002		
Construction Start	7/1/2002		
Report of Award to B/T	8/1/2002		
Completion	4/1/2003		

**FUNDING INFORMATION:**

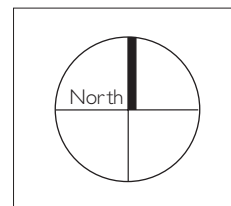
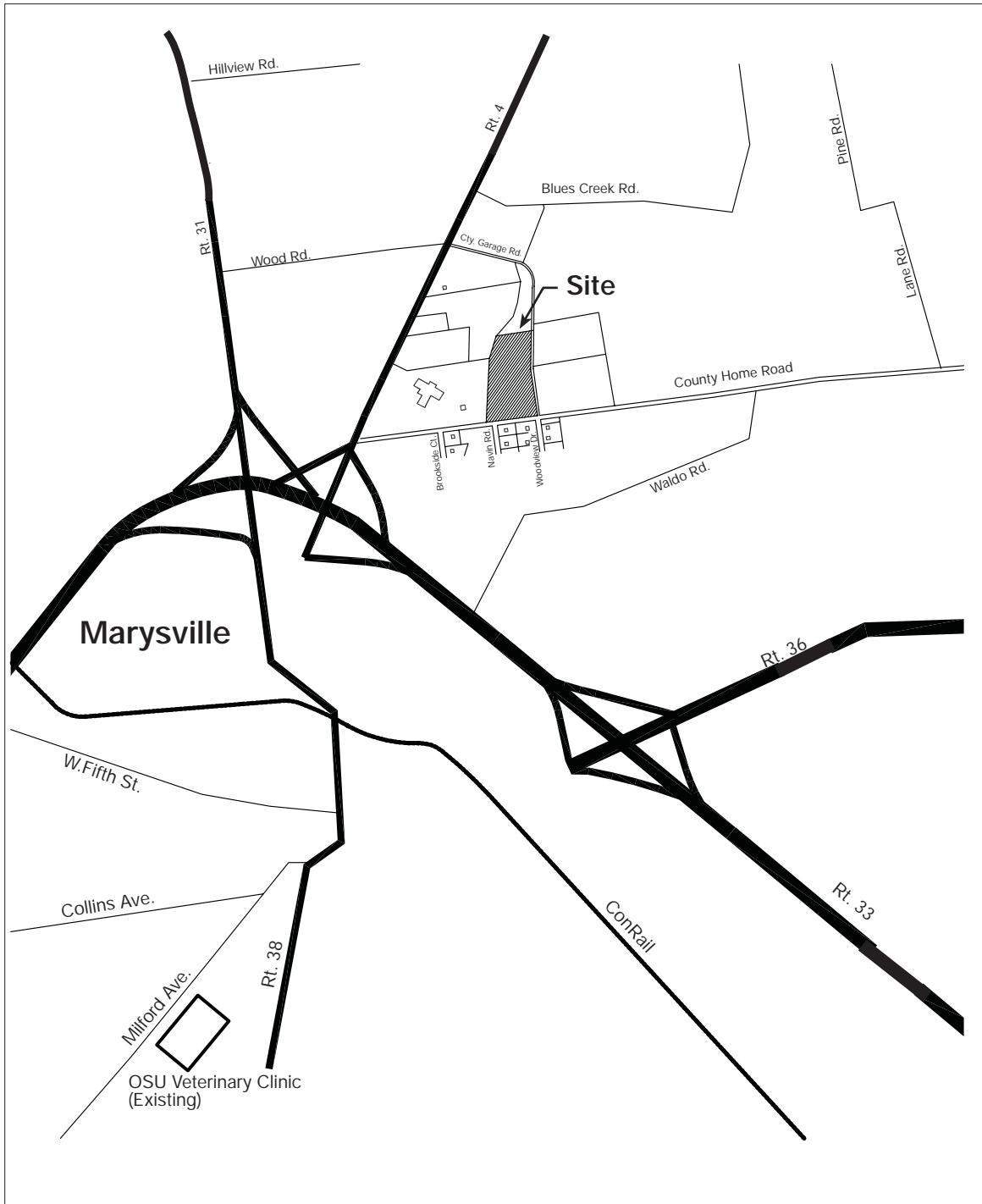
Treasurer's Debt Service Pool Loan	\$ 800,000	\$ -	\$ -
General Funds-Veterinary Medicine	600,000		
<b>Total</b>	<b>\$ 800,000</b>	<b>\$ -</b>	<b>\$ -</b>

**USES:**

Construction	\$ 1,000,000	\$ -	
Contingency	100,000		
Design	200,000		
Equipment	85,000		
Univ. Administration	15,000		
<b>Total</b>	<b>\$ 1,400,000</b>	<b>\$ -</b>	<b>\$ -</b>

**COMMENTS:**

# Union County Large Animal Clinic Facility



Office of Business and Finance  
Office of Facilities Planning and Development

October 10, 2001

**Life Sciences Research Building****315-1993-036****PROJECT CAPTAIN:**

Steve Middleton, Facilities Planning &amp; Development - Architecture, 688-3576

**REQUESTING AGENCY:**

College of Biological Sciences

**PROJECT LOCATION:**

0131-LIFE SCIENCES RESEARCH BUILDING

**AGE:**

1999

**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Construct a building of approximately 123,000 gross square feet of laboratory and office space for three departments: Zoology, Entomology, and Plant Biology.

**MILESTONES:**

	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>
Arch/Eng Approved B/T	4/2/1993		4/2/1993
Bidding Approved B/T	4/2/1993	11/1/1996	11/1/1996
Arch/Engr Contract	4/20/1996	11/21/1996	4/3/1997
Schematic Design Approval	7/20/1996	2/15/1998	6/18/1998
Design Development Approval	9/20/1996	6/15/1998	10/23/1998
Construction Document Approval	12/15/1996	11/5/1999	11/5/1999
Bid Opening	1/15/1997	8/1/2001	8/1/2001
Award of Contracts	3/15/1997	9/28/2001	
Construction Start	4/1/1997	10/1/2001	
Report of Award to B/T	4/1/1997	11/2/2001	
Completion	1/1/1999	5/24/2003	

**FUNDING INFORMATION:**

Centrally Funded Capital Projects	\$ -	\$ 703,752	\$ -
Future Univ. Bond Issues	0	2,300,000	
Future Capital Appropriations	18,300,000	0	
HB748 Columbus Line Items	0	21,840,556	
HB904 Columbus Line Items	800,000	800,000	
Repair & Renovation Fiscal Yr 00-01	0	361,967	
<b>Total</b>	<b>\$ 19,100,000</b>	<b>\$ 26,006,275</b>	<b>\$ -</b>

**USES:**

% of Art	\$ -	\$ 218,170	
Construction	15,300,000	0	
Contingency	1,000,000	1,768,886	
Design	1,404,375	2,022,370	
Electric	0	2,347,200	
Equipment	1,200,000	1,400,560	
General	0	11,670,000	
HVAC	0	3,959,493	
Plumbing	0	2,095,000	
Site Steam	0	266,000	
State Architect	195,625	258,596	
<b>Total</b>	<b>\$ 19,100,000</b>	<b>\$ 26,006,275</b>	<b>\$ -</b>

**COMMENTS:**

Project was placed on hold until construction funds had been prioritized in the 1997-1998 capital plan due to technological needs of the building. Project budget increased to reflect current anticipated construction costs. Site is between Botany and Zoology Building and the 12th Avenue Parking Garage.





**Postle Hall Research Labs Renovation**

**315-1999-907**

**PROJECT CAPTAIN:**

Patrick Cuthbert, Facilities Planning & Development, 292-3186

**REQUESTING AGENCY:**

College of Dentistry

**PROJECT LOCATION:**

0024-POSTLE HALL, WENDELL D

**AGE:**

1950

**GR. SQ. FT.:**

279,254

**PROJECT DESCRIPTION:**

Convert fourth floor clinical facilities (4010/4038 complex) into 4 generic research labs, providing mechanical, electrical, communications and plumbing upgrades; replace ceiling, lighting, and flooring; and repainting. The College of Dentistry funded the additional monies required to accept an alternate to upgrade second floor lab (2214 suite).

**MILESTONES:**

	<b>Projected</b>	<b>Revised</b>	<b>Actual</b>
Arch/Eng Approved B/T	9/1/1999		9/1/1999
Bidding Approved B/T	9/1/1999		9/1/1999
Arch/Engr Contract	9/6/1999		10/1/1999
Schematic Design Approval	10/15/1999	12/20/1999	3/15/2000
Design Development Approval	12/1/1999	1/3/2000	9/15/2000
Construction Document Approval	2/1/2000	4/13/2001	4/26/2001
Bid Opening	3/15/2000	6/1/2001	8/15/2001
Award of Contracts	5/1/2000	10/14/2001	
Construction Start	5/5/2000	10/15/2001	
Report of Award to B/T	6/2/2000	12/1/2001	
Completion	9/1/2000	2/18/2002	

**FUNDING INFORMATION:**

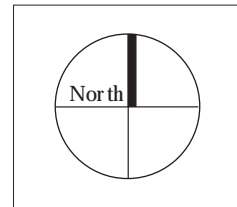
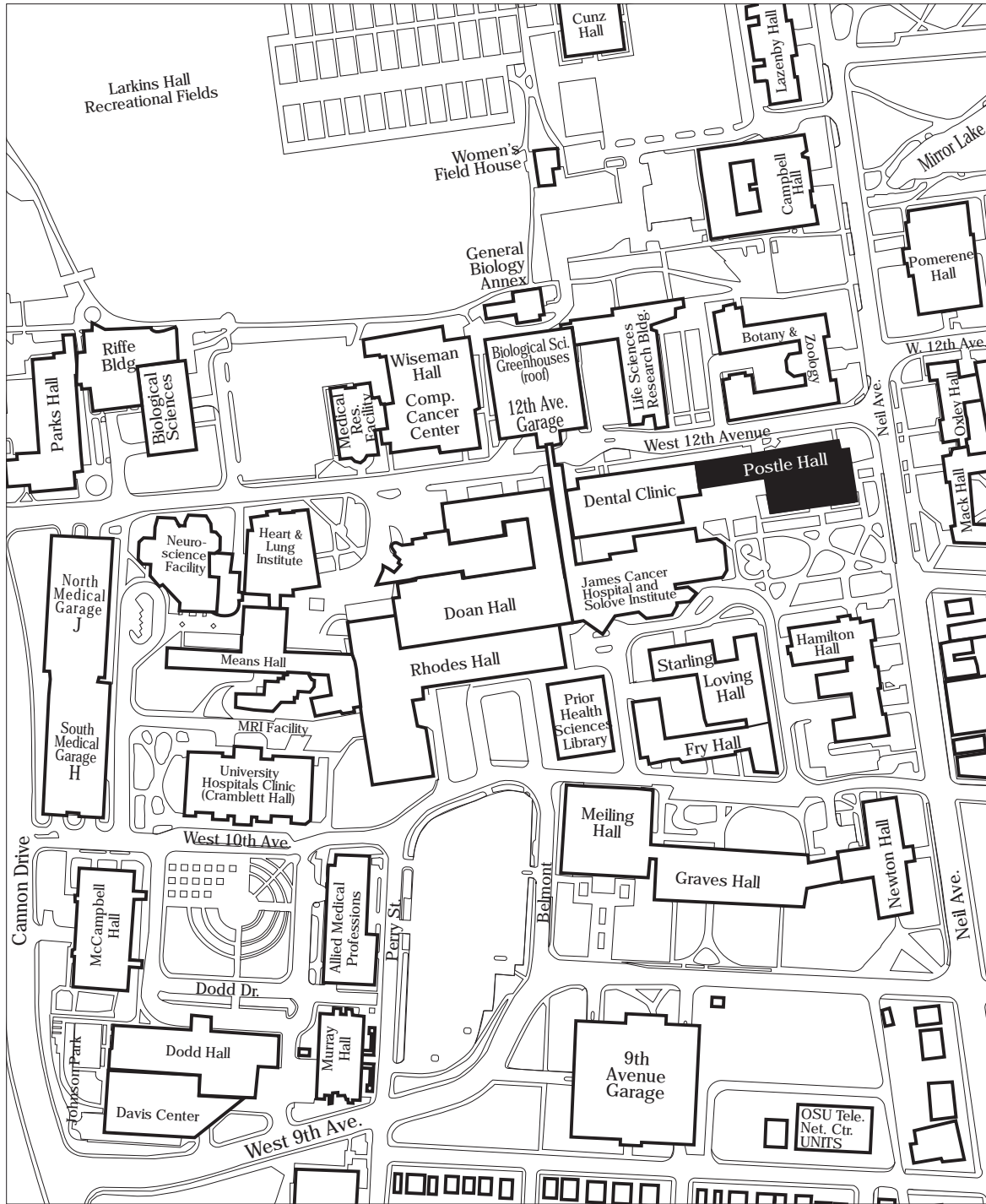
HB850 Columbus Basic Renovation	\$	1,000,000	\$	1,000,000	\$	-
Development-Dentistry		0		53,566		
<b>Total</b>	<b>\$</b>	<b>1,000,000</b>	<b>\$</b>	<b>1,053,566</b>	<b>\$</b>	<b>-</b>

**USES:**

Asbestos	\$	7,796	\$	7,796		
Construction	\$	785,400				
Contingency		99,600		87,185		
Design		103,219		81,450		
Electric		66,117		91,007		
General		430,700		430,700		
HVAC		189,350		189,350		
Plumbing		153,000		153,000		
Univ. Administration		11,781		13,078		
<b>Total</b>	<b>\$</b>	<b>1,846,963</b>	<b>\$</b>	<b>1,053,566</b>	<b>\$</b>	<b>-</b>

**COMMENTS:**

# Postle Hall Research Lab Renovation



Office of Business and Finance  
Office of Facilities Planning and Development

December 9, 2000

**SALE OF REAL PROPERTY**

3080 EAST MAIN STREET  
COLUMBUS, OHIO 43209

Location and Description

The property consists of approximately 0.552 acres located at 3080 East Main Street, west of James Road in Columbus, Ohio. The property contains a one-story, brick medical office building of approximately 3,160 square feet.

The Board of Trustees of The Ohio State University purchased the property with Medical Center funds in 1987 (as part of a larger purchase of eight Humana Incorporated facilities) to operate a MedOhio facility. In July 2001, to better serve the east Columbus area market, the University Medical Center relocated the MedOhio facility to Reynoldsburg in a leased building at 7094 East Main Street.

Appraisal and Purchase Price

An MAI appraisal concluded in August 2001 by Wilhelm & Associates, valued the property between \$348,000.00 and \$380,000.00. Bexley Medical Building, LLC has offered to purchase the property at a price of \$370,000.00. Closing costs paid by The Ohio State University Medical Center are estimated at \$14,000, which includes a 3% real estate commission to Barnett Real Estate.

# Sale of 3080 East Main Street Columbus, Ohio



No True Scale

Office of Business and Finance  
Board of Trustees Meeting  
November 2, 2001

Map Provided by University Engineer's Office

 Property to be sold

**The Ohio State University Board of Trustees  
Fiscal Affairs Committee  
November 2, 2001**

**Interim Financial Plan for FY 2002**

Scope of the Problem

6% rescission to annual appropriations for FY 2002:

State Share of Instruction for Columbus Campus	\$19.4M
Regional Campuses, ATI/OARDC	3.9M
All other line items	<u>4.9M</u>
	\$28.2M

Proposed Solutions

Reductions in line items will be absorbed  
by the effected units

\$8.8M

Reductions in State Share of Instruction  
will be absorbed as follows:

Rainy Day Fund	\$5.0M
Central Budgets	6.0
Support Units (2%) <sup>1</sup>	3.8
Colleges (1.25%)	<u>4.6</u>
Total	\$19.4M

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<sup>1</sup> Percent of FY 2002 General Funds Budget Authority

## Implications and Additional Considerations

- Student Financial Aid distributions will be protected.
- These are one-time funds only. Permanent reductions will need to be addressed as part of FY 2003 budget process.
- Decision on replenishment of Rainy Day Fund will be made later this fiscal year.
- Non-general fund units may be asked to contribute at a later date.
- Board will be asked to approve budget detail at the December 6<sup>th</sup> meeting.
- This plan addresses current reductions announced by Governor Taft only. If additional reductions in state support are implemented, additional corrective action will be necessary.
- \$28 million is the equivalent of between 500-600 full time positions across all campuses.
- NOTE: The figures above differ from those laid out in President Kirwan's October 11<sup>th</sup> speech, but are consistent with it. The figures in President Kirwan's speech dealt with redirection of funds for compensation increases in addition to state budget reductions anticipated in FY 2003, but for the Columbus Campus only. The figures above deal with state budget rescissions for FY 2002 only and apply to all campuses.

Office of Academic Affairs  
Office of Business and Finance  
10/31/01